# **PCT**





## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>: H04N 7/26, 11/04

**A1** 

(11) International Publication Number:

WO 98/54903

(43) International Publication Date:

3 December 1998 (03.12.98)

(21) International Application Number:

PCT/JP98/02349

(22) International Filing Date:

28 May 1998 (28.05.98)

(30) Priority Data:

9/139591 10/126938

29 May 1997 (29.05.97) JP

11 May 1998 (11.05.98) JP

(71) Applicant (for all designated States except US): SHARP KABUSHIKI KAISHA [JP/JP]; 22-22, Nagaike-cho, Abeno-ku, Osaka-shi, Osaka 545-0013 (JP).

(72) Inventors; and

- (75) Inventors Applicants (for US only): AONO, Tomoko [JP/JP]; 6-13-18-205, Makuharihongo, Hanamigawa-ku, Chiba-shi, Chiba 262-0033 (JP). SAIGA, Hisashi [JP/JP]; 266-1-103, Sugimoto-cho, Tenri-shi, Nara 632-0078 (JP). KATATA, Hiroyuki [JP/JP]; 2-20-636, Honda-cho, Midori-ku, Chiba-shi, Chiba 266-0005 (JP). KUSAO, Hiroshi [JP/JP]; 1-4-35-B2, Asumigaoka, Midori-ku, Chiba-shi, Chiba 267-0066 (JP).
- (74) Agents: MITANI, Hiroshi et al.; Salute Building, 9th floor, 72, Yoshida-cho, Naka-ku, Yokohama-shi, Kanagawa 231-0041 (JP).

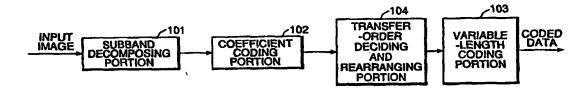
(81) Designated States: CA, CN, KR, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

#### Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: VIDEO CODING DEVICE AND VIDEO DECODING DEVICE



#### (57) Abstract

A video coding device capable of adaptively processing input video data according to property of the data and realizing effective progressive transmission of the coded data even if image components are different in size and/or different in the number of subbands. The above-mentioned object can be realized by the provision of a transferring-order deciding and rearranging portion (104) that can prepare an integrated component unit by forming combinations of subband-based frequency-coefficients of respective components Y, U and V and can change the number of respective elements of respective components Y, U and V.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ΑT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
$\mathbf{BE}$	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
ВJ	Benin	ΙE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

#### DESCRIPTION

### VIDEO CODING DEVICE AND VIDEO DECODING DEVICE

# Technical Field

The present invention pertains to the field of digital video processing and relates to a video coding device for efficiently encoding video data and a video decoding device for decoding video data coded by the video coding device.

## Background Art

Recently, there has been proposed a subband coding method that can efficiently encode and decode video signals. The well-known high-efficient subband encoding method is used to decompose an input image into frequency bands by a bank of band-decomposing filters. The band-decomposing filter-bank is a one-dimensional filter-bank that can serve as a two-dimensional band-decomposing filter-bank by repeating processing the input image in horizontal and vertical directions. This method was reported by Fujii, Noumura. "Topics on Wavelet Transform" in a Report of "TECHNICAL REPORT of IEICE, IE92-11, 1992".

In the prior art, a subband image as shown in Fig. 1B obtained by conducting two-dimensional subband decomposition three times. The first two-dimensional subband decomposition obtains a horizontal high-pass and a vertical low-pass band, a horizontal low-pass and vertical high-pass band and a horizontal and vertical high-pass band, which are designated by HL1, LH1 and HH1 respectively. A horizontal and vertical low-pass band obtained by the first decomposition is further subjected to two-dimensional band-decomposition by which three subbands HL2, LH2 and HH2 are obtained.

A horizontal and vertical low-pass subband obtained by the second decomposition is further subjected to third two-dimensional subband decomposition by which three subbands HL3, LH3 and HH3 and a horizontal and vertical low-pass subband LL3 are obtained. A Wavelet-converting filter-bank or a band-decomposing and synthesizing filter-bank may be used as the band-decomposing filter-bank. Thus, the decomposed subband-images are of a hierarchical (layer) structure from low-frequency band to high-frequency band.

Progressive image transmitting can be easily realized utilizing the hierarchical structure of the subband images. The progressive image transmitting method enables a video decoding device to reproduce a low-resolution image by using only a part of coded

data. The more coded data is reproduced, the higher resolution the decoded image has. Japanese Laid-Open Patent Publication (TOKKAI HEI) No. 8-242379 describes a system (referred hereinafter to as a prior art system) to realize the progressive image transmitting.

A video coding device using in the prior art system comprises a subband decomposing portion for decomposing an input image into subband images by using twodimensional decomposing filters, a coefficient coding portion for encoding coefficients of the decomposed subband images, a variable-length coding portion for performing variable-length coding οf the coded coefficient data from the coefficient coding portion and a line-transmitting portion for transmitting a plurality of components composing the image per line at coding portion performs coefficient The encoding the coefficients by using any one of various kinds of coding methods (e.g., DPCM coding, zero-tree coding, and scalar-quantizing coding). This process includes a quantizing step.

The operation of the line transmitting portion will be described below in detail, by way of example, with an input image composed of three-components Y (a luminance component) and U, V (chrominance components) and being conducted subband decomposition three times as shown in Fig. 1B. Processing starts from a subband

LL3, which gives the lowest resolution of the image.

In the example, the line-transmitting portion transmits the components Y, U and V sequentially line by line in the order from the first line of the subband LL3. Having transferred all lines of the subband LL3. the portion transfers the components Y, U and V in the subbands LH3, HL3 and HH3 respectively in the order: the component Y on the first lines of the subbands LH3, HL3 and HH3; the component U on the first lines of the subbands LH3, HL3 and HH3; the component V on the first lines of the subbands LH3, HL3 and HH3; the component Y on the second lines of the subbands LH3, HL3 and HH3; U on the second lines of LH3, HL3 and HH3; V on the second lines of the subbands LH3, HL3 and HH3 and so on. Having transmitted all lines of LH3, HL3 and HH3, the line transmitting portion transfers, in similar way, lines of LH2, HL2, HH2 and, then, lines of LH1, HL1, HH1. The above-mentioned procedure of the lineis transmitting portion executed according to programed flow.

Orderly transmission of the components Y, U, V composing the image per line produces coded data having a hierarchical structure.

The prior art video decoding device comprises a line receiving portion for receiving the coded data from the line-transmitting portion of the video-coding

device above-mentioned and rearranging the data to respective component groups, a variable-length decoding portion for decoding the rearranged variable-lengthcoded data, a decoded data counting portion for counting bits of data decoded by the variable-length decoding portion, a decoding truncating portion for comparing the number of the bits counted by decoded-data counting portion with a preset threshold or an externally-given threshold to give a command for stopping the decoding operation of the variable-length decoding portion when the number of decoded bits exceeds the threshold, a data completing portion for compensating for lack of truncated data by adding zero when having truncated the decoding the coded data at the specified number of bits, a coefficient decoding decoding coded coefficient portion for reversing the same processing procedure of the coefficient coding portion and a subband synthesizing portion for synthesizing an image from the subbands through two-dimensional synthesizing filters.

The video decoding device can thus reproduce an entire image from coded data having a hierarchical structure or a part thereof.

The conventional video-coding and video-decoding system can realize progressive image transmitting by transmitting image components per line in an ascending

order starting from the lowest-resolution band-image. However, the prior art system encounters several inconvenient problems resulting from the fixed transfer-unit of a line. For example, an image composed of luminance component Y and chrominance components U and V may be easier recognized by transmitting only the component Y before the components U and V rather than transmitting all components as a unit.

In this case, it is preferable to transfer the image components subband by subband, not by line. Furthermore, it is proved that an image composed of components R, G, B may be reproduced with better subjective image-quality at the decoding terminal when coded coefficients of the respective components R, G and B are transmitted one by one. This is because these components have substantially the same influence on the visual property.

The prior art system presumes that components of an image have the same size. Therefore, it cannot be adaptable to an input image composed of different sizes of components in format of, e.g., 4:2:2 or 4:2:0.

Furthermore, the prior art system presumes that respective components of an image have the same number of subbands and cannot be adaptable to an input image whose components are divided into different numbers of subbands.

## Disclosure of Invention

The present invention is directed to a system for effective progressive image transmitting by solving the foregoing problems involved in the prior arts.

(1) Accordingly, an object of the present invention is to provide a video coding device, which is provided with a subband-decomposing means for decomposing an image being composed of N (N2 2) kinds of luminance or chrominance components into subband images for each of components  $A^n$  (1 $\leq n \leq N$ , where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient of the subband images, rearranging means for preparing integrated component units by combining frequency coefficients included in respective components An according to the coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit coded including the coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of

the integrated component units by setting therein the frequency coefficients contained in the respective components  $A^n$ , which are all frequency-coefficients included in m ( $m\geq 1$ ) pieces of the respective components' subbands, when the components  $A^n$  are have the same size and the same number of subbands.

(2) Correspondingly, another object of the present invention is to provide a video decoding device, which is provided with a variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decoding-stop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions for respective components An, a coefficient decoding means for decoding codedcoefficient data separated and arranged for respective components  $A^n$  by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components  $A^n$ , wherein the component separating means separates the integrated component unit as combinations of all frequency coefficients contained in m ( $m \ge 1$ ) subbands for respective components  $A^n$  when the respective components  $A^n$  have the same size and the same number of subbands.

integrated component units contains al 1 The frequency coefficients in m (m≥1) respective subbands respective component An. Therefore, specified subbands of the image components such as luminance signal Y and chrominance signals U and V that may have different levels of influence on human visual property can be transmitted first to enable one to recognize a summary of the image at an earlier stage of decoding at the decoding side. When a codable image is known to be of higher resolution in a specified direction, the coding device can transmit first coded coefficients of higher-resolution-direction subbands and the decoding device can decode those coded coefficients, terminate the decoding in the midway of decoding all coded data and reproduce the image from only data decoded till

that time to improve subjective-image quality of the image.

(3) Another object of the present invention is to provide a video coding device, which is provided with a subband-decomposing means for decomposing an being composed of N  $(N \ge 2)$ kinds of luminance chrominance components into subband images for each of components  $A^n$  ( $1 \le n \le N$ , where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient of the subband images. rearranging means for preparing integrated component units by combining frequency coefficients included in respective components An according to the coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the including the integrated component unit coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by setting therein the frequency coefficients included in the respective of components An m (m≥1) pieces asfrequencycoefficients contained at the same relative positions in m  $(m\geq 1)$  pieces of the respective components' subbands when the components  $A^n$  have the same size and the same number of subbands.

(4) Correspondingly, another object of the present invention is to provide a video decoding device, which is provided with a variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decoding-stop command when the number of decoded bits exceeds the given number of bits. a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions for respective components An, a means for decoding coefficient decoding coefficient data separated and arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components  $A^n$ , wherein the component separating means separates the integrated component unit into combinations of m  $(m\geq 1)$  pieces of frequency coefficients having the same relative positions in respective m  $(m\geq 1)$  subbands of the respective components  $A^n$  when the components  $A^n$  have the same size and the same number of subbands.

Therefore, the devices operate with integrated component units whose elements are m  $(m\geq 1)$  pieces of frequency coefficients having the same relative positions in m  $(m\geq 1)$  respective subbands of respective components  $A^n$  and can decode those coded coefficients, terminate the decoding in the midway of decoding all the coded data and reproduce the image from only the data decoded till that time to improve subjective-image quality of the image when the image is composed of components R, G and B that have substantially almost the same influence on human visual property.

(5) Another object of the present invention is to provide a video coding device, which is provided with a subband-decomposing means for decomposing an image being composed of N (N $\geq$  2) kinds of luminance or chrominance components into subband images for each of components A<sup>n</sup> (1 $\leq$ n $\leq$ N, where n is an integer) composing an image to be coded, coefficient coding means for

encoding a frequency coefficient of the subband images, rearranging means for preparing integrated component units by combining frequency coefficients included in respective components An according to the coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the  $\mathtt{unit}$ including the coded component integrated coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by setting therein the of frequency-coefficients in different number respective components An according to each component size when the components An are different in size and have the same number of subbands.

(6) Correspondingly, another object of the present invention is to provide a video decoding device, which is provided with a variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting

means with an externally-given number of bits giving a decoding-stop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions for respective components An. a coefficient decoding means for decoding codedcoefficient data separated and arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components An, wherein the component separating means separates component unit as combinations the integrated different pieces of frequency coefficients according to sizes respective component when the respective components An are different in size and have the same number of subbands.

The devices can be adapted to process an image whose luminance and chrominance components are different from each other by resolution, having the

great advantage over the conventional method that can be applied to an image whose components have the same resolution. This feature provided by the present invention is desirable in particular to digital image processing since many digital images are usually formatted to have higher resolution of the luminance component than that of chrominance component.

(7) Another object of the present invention is to provide a video coding device, which is provided with a subband-decomposing means for decomposing an image being composed of N (N $\geq$  2) kinds of luminance or chrominance components into subband images for each components  $A^n$  (1 $\leq n \leq N$ , where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient of the subband images, rearranging means for preparing integrated component units by combining the subbands included in respective components An according to the coded coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit including the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded

data, wherein the rearranging means prepares each of the integrated component units by combining the same number of low-resolution subbands and the different number of high-resolution subbands of the respective components  $A^n$  when the components  $A^n$  are different in size and different in the number of subbands.

(8) Correspondingly, another object of the present invention is to provide a video decoding device, which is provided with a variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decoding-stop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions for respective components  $A^n$ , a coefficient decoding means for decoding coded-

coefficient data separated and arranged for respective. components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components An, wherein the component separating means separates the integrated component unit as combinations of the low-resolution subbands number οf same and the number of high-resolution different subbands of respective components An when the respective components Ar are different in size and different in the number of subbands.

The devices can be adapted to process an image chrominance components luminance and different from each other by resolution and have different subband-decomposition levels, getting a great advantage over the conventional method that can be applied to an image whose components have the same resolution and the same number of subbands. This feature provided by the present invention is desirable in particular to digital image processing since many digital images are usually formatted to have higher resolution of the luminance component than that of the chrominance component and it is general to vary the subband-decomposition level according to the resolution of the component.

(9) Another object of the present invention provide a video coding device, which is provided with a for decomposing an subband-decomposing means being composed of N (N≥ 2) kinds of luminance or chrominance components into subband images for each of components A<sup>n</sup> (1≦n≦N, where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient of the subband images, rearranging means for preparing integrated component units by combining the subbands included in respective components An according to the coded coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit including the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by combining the same number of high-frequency subbands and the different number of low-frequency subbands of the respective components An when the components An are different in size and different in the number of subbands.

(10) Correspondingly, another object of the present

invention is to provide a video decoding device, which is provided with a variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decoding-stop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions for respective components An, a for decoding means decoding codedcoefficient coefficient data separated and arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components An, wherein the component separating means separates the integrated component unit as combinations of the

οf high-resolution subbands same number and the of low-resolution subbands different number οf respective components An when the components An are different sizes and different in the number subbands.

The devices can be adapted to process an image chrominance luminance and components different resolution levels and different subbanddecomposition levels, getting a great advantage over the conventional method that can be applied to an image whose components have the same resolution and the same number of subbands. Furthermore, these aspects of the present invention provide such a feature that each integrated component unit always reflects the ratio of numbers of respective components contained in an input image. This feature eliminates the need for decoding redundant data at the decoding side when decoding the amount of data according to the resolution of the display unit.

(11) Another object of the present invention is to provide a video coding device, which is based on the device of (9) above-mentioned and further characterized in that the rearranging means prepares each of the integrated component units by combining lowest ones of resolution subbands of the respective components A<sup>n</sup> and different numbers of all other low-resolution-level

subbands of the respective components  $A^n$  when the respective components  $A^n$  are different in size and different in the number of subbands.

(12) Correspondingly, the present invention also provides a video-decoding device, which is based on the device of (10) above-mentioned and further characterized in that the component separating means separates the integrated component unit into combinations of subbands for respective components, each combination composed of one lowest resolution subband and the different numbers of all other low-resolution subbands.

The devices can first separate and transmit lowest-resolution subbands of respective components  $A^n$  to first give a summary content of an image, making it possible to improve subjective quality of the reproduced image.

### Brief Description of the Drawings

Fig. 1A is a view for explaining a subband coding method.

Fig. 1B is a view for explaining a subband coding method.

Fig. 2 is a view for explaining a progressive video transmission system.



- Fig. 3 is a block-diagram of a prior art video coding device.
- Fig. 4 is a block-diagram of a prior art video decoding device.
- Fig. 5 depicts a sequence of transferring subband image coefficients according to the prior art video coding device.
- Fig. 6 is a flow chart for explaining the operation of a prior art video coding device.
- Fig. 7 is a block-diagram of a video coding device which is a first embodiment of the present invention.
- Fig. 8 is a block-diagram of a video decoding device which is a first embodiment of the present invention.
- Fig. 9 depicts an example of decomposing image components into subbands in the first embodiment of the present invention.
- Fig. 10 depicts an example of a sequence of transferring coefficients of subband images in the first embodiment of the present invention.
- Fig. 11 depicts another example of a sequence of transferring coefficients of subband images in the first embodiment of the present invention.
- Fig. 12 depicts an exemplified sequence of scanning coefficients in respective subbands in the first embodiment of the present invention.

Fig. 13 depicts an example of collecting a plurality of subbands into elements of an integrated component unit in the first embodiment of the present invention.

Fig. 14 depicts an example of using coefficients of each line in each subband as an element of an integrated component unit in the first embodiment of the present invention.

Fig. 15 is a flow chart depicting a procedure of operations of a video coding device which is the first embodiment of the present invention.

Fig. 16 is a flow chart depicting a procedure of operations of a video coding device which is the first embodiment of the present invention.

Fig. 17 depicts an example of decomposing image components into subbands in the second embodiment of the present invention.

Fig. 18 depicts a relationship between coefficients of respective components in the second embodiment of the present invention.

Fig. 19 depicts an example of an integrated component unit used in the second embodiment of the present invention.

Fig. 20 depicts another example of an integrated component unit used in the second embodiment of the present invention.

Fig. 21 depicts an example of subband decomposition and an integrated component unit used in the third embodiment of the present invention.

Fig. 22 depicts another example of subband decomposition and an integrated component unit used in the third embodiment of the present invention.

### Best Mode for Carrying Out the Invention

Prior to explaining preferred embodiments of the present invention, prior art video coding device and video decoding device will be described below as references for the present invention.

Recently, there has been proposed a subband coding method that can efficiently encode and decode video signals. The well-known high-efficient subband encoding method is used to decompose an input image into such frequency bands as shown in Fig. 1B by a bank of band-decomposing filters as shown in Fig. 1A. The band-decomposing filter-bank shown in Fig. 1A is a one-dimensional filter-bank that can serve as a two-dimensional band-decomposing filter-bank by repeating processing the input image in horizontal and vertical directions. This method was reported by Fujii, Noumura. "Topics on Wavelet Transform" in a Report of "TECHNICAL REPORT of IEICE, IE92-11, 1992".

In Fig. 1A, there is shown a subband image obtained by conducting two-dimensional subband decomposition three times. The first two-dimensional subband decomposition obtains a horizontal high-pass and a vertical low-pass band, a horizontal low-pass and vertical high-pass band and a horizontal and vertical high-pass band and a horizontal and vertical high-pass band, which are designated by HL1, LH1 and HH1 respectively. A horizontal and vertical low-pass band obtained by the first decomposition is further subjected to two-dimensional band-decomposition by which three subbands HL2, LH2 and HH2 are obtained.

A horizontal and vertical low-pass subband obtained by the second decomposition is further subjected to third two-dimensional subband decomposition by which three subbands HL3, LH3 and HH3 and a horizontal and vertical low-pass subband LL3 are obtained. A Wavelet-converting filter-bank or a band-decomposing and synthesizing filter-bank may be used as the band-decomposing filter-bank. Thus, the decomposed subband-images are of a hierarchical (layer) structure from low-frequency band to high-frequency band.

Progressive image transmitting can be easily realized utilizing the hierarchical structure of the subband images. As shown in Fig. 2, the progressive image transmitting method enables a video decoding device to reproduce a low-resolution image by using

only a part of coded data. The more coded data is reproduced, the higher resolution the decoded image has. Japanese Laid-Open Patent Publication (TOKKAI HEI) No. 8-242379 describes a system (referred hereinafter to as a prior art system) to realize the progressive image transmitting, which structure is shown in Figs. 3 and 4.

Fig. 3 shows a video coding device using in the prior art system and Fig. 4 shows a video decoding device using the system. The video coding device as shown in Fig. 3 comprises a subband decomposing portion 2001 for decomposing an input image into subband images using two-dimensional decomposing filters, a 2002 coding portion for coefficient encoding coefficients of the decomposed subband images, a variable-length coding portion 2003 for performing variable-length coding of the coded coefficient data from the coefficient coding portion 2002 and a linetransmitting portion 2004 for transmitting a plurality of components composing the image per line at a time. The coefficient coding portion 2002 performs encoding the coefficients by using any one of various kinds of coding methods (e.g., DPCM coding, zero-tree coding, and scalar-quantizing coding). This process includes a quantizing step.

The operation of the line transmitting portion 2004

will be described below in detail, by way of example, with an input image composed of three-components Y (a luminance component) and U, V (chrominance components) and being conducted subband decomposition three times as shown in Fig. 1B. Processing starts from a subband LL3 shown in Fig. 1B, which gives the lowest resolution of the image.

As shown in Fig. 5, the line-transmitting portion 2004 transmits the components Y, U and V sequentially line by line in the order from the first line of the subband LL3. Having transferred all lines of the subband LL3, the portion transfers the components Y, U and V in the subbands LH3, HL3 and HH3 respectively in the order: the component Y on the first lines of the subbands LH3, HL3 and HH3; the component U on the first lines of the subbands LH3, HL3 and HH3; the component V on the first lines of the subbands LH3, HL3 and HH3: the component Y on the second lines of the subbands LH3, HL3 and HH3; U on the second lines of LH3, HL3 and HH3; V on the second lines of the subbands LH3, HL3 and HH3 and so on. Having transmitted all lines of LH3, HL3 and HH3, the line transmitting portion transfers, in similar way, lines of LH2, HL2, HH2 and, then, lines of LH1, HL1, HH1. The above-mentioned procedure of the line-transmitting portion 2004 is illustrated by a flowchart of Fig. 6.

Orderly transmission of the components Y, U, V composing the image per line produces coded data having a hierarchical structure.

Referring to Fig. 4, the video decoding device comprises a line receiving portion 2004 for receiving the coded data from the line-transmitting portion 2004 of the video-coding device of Fig. 3 and rearranging the data to respective component groups, a variablelength decoding portion 2101 for decoding the rearranged variable-length-coded data, a decoded data counting portion 2102 for counting bits of data decoded by the variable-length decoding portion, a decoding truncating portion 2103 for comparing the number of the bits counted by the decoded-data counting portion with a preset threshold or an externally-given threshold to give a command for stopping the decoding operation of the variable-length decoding portion 2101 when the number of decoded bits exceeds the threshold, a data completing portion 2105 for compensating for lack of truncated data by adding zero when having truncated the decoding the coded data at the specified number of bits, a coefficient decoding portion 2106 for decoding coded coefficient data by reversing the same processing procedure of the coefficient coding portion 2002 of Fig. 3 and a subband synthesizing portion 2107 for synthesizing an image from the subbands through twodimensional synthesizing filters.

The video decoding device can thus reproduce an entire image from coded data having a hierarchical structure or a part thereof.

The conventional video-coding and video-decoding system can realize progressive image transmitting by transmitting image components per line in an ascending order starting from the lowest-resolution band-image. However, the prior art system encounters several inconvenient problems resulting from the fixed transfer-unit of a line. For example, an image composed of luminance component Y and chrominance components U and V may be easier recognized by transmitting only the component Y before the components U and V rather than transmitting all components as a unit.

In this case, it is preferable to transfer the image components subband by subband, not by line. Furthermore, it is proved that an image composed of components R, G, B may be reproduced with better subjective image-quality at the decoding terminal when coded coefficients of the respective components R, G and B are transmitted one by one. This is because these components have substantially almost the same influence on the visual property.

The prior art system presumes that components of an image have the same size. Therefore, it cannot be

adaptable to an input image composed of different sizes of components in format of, e.g., 4:2:2 or 4:2:0.

Furthermore, the prior art system presumes that respective components of an image have the same number of subbands and cannot be adaptable to an input image whose components are decomposed into different numbers of subbands.

Referring now to accompanying drawings, a video-coding device and a video decoding device according to the present invention will be described below in detail.

Fig. 7 is a block diagram showing a video coding device which is a first embodiment of the present invention. As shown in Fig. 7, the first embodiment of the present invention includes a subband decomposing portion (subband decomposing means) 101, a coefficient coding portion (coefficient coding means) 102 and a variable-length coding portion (variable-length coding means) 103, which are similar in construction to portions 2001, 2002 and 2003, respectively, of Fig. 3.

In Fig. 7, numeral 104 designates a transfer-order deciding and rearranging portion (rearranging means) that decides an integrated component unit prepared by combining luminance or chrominance elements from coded coefficient data provided from the coefficient coding portion 102 and arranges coded coefficient data of

subbands in a transmitting order starting from the coded coefficient data of the lowest-resolution subband. Whereas the prior art video-coding device rearranges coded coefficient data in the transmitting order after variable-length coding of the data, the video-coding device according to the present invention rearranges the coded coefficient data in the transmitting order before variable-length coding of the data.

This makes it possible to conduct variable-length coding of the coded coefficient data by, e.g., an arithmetic coding method besides the Huffman coding method. According to the present invention, it is also possible to conduct rearrangement of the coded coefficient data after variable-length coding as the prior art device does. The operation of the first embodiment is described below with an input image composed of three components Y (luminance), U (chrominance) and V (chrominance), which is the same as that used in the prior art device. In embodiment, these components have the same resolution, i.e., the same image sizes.

An integrated component unit may be prepared from coefficient-coded data by combining elements Y, U and V. The following example is an integrated component unit that is prepared of subbands of Y, U and V.

shows coefficients of subband Fig. images obtained through performing three times of subbanddecomposition of respective image components Y, U and V ((a), (b) and (c) part of Fig. 9, respectively). The image sizes of the components Y, U and V are equal to each other. Figs. 10 and 11 show the order transmitting the subband image coefficients of Y, U and V ((a), (b) and (c) part of both of Fig. 10 and Fig. 11) in Fig. 9, respectively. Characters Y, U and V with numeral suffixes in both of Figs. 10, 11 denote the οf transmitting subbands order οf respective components.

In the first embodiment, an integrated component unit is composed of subbands and contains a set of the same-resolution subbands of respective components, that is:  $(Y_i, U_i, V_i)$  where i= 1 to 10 (the order of subbands to be transferred).

The transmitting order is as follows:  $(Y_1, U_1, V_1)$ ,  $(Y_2, U_2, V_2)$ , ...,  $(Y_{10}, U_{10}, V_{10})$ .

In the case of Fig. 10, a coefficient of high resolution in a horizontal direction is transferred before a coefficient of high resolution in vertical direction, while in the case of Fig. 11, a coefficient of high resolution in a vertical direction is transferred before a coefficient of high resolution in horizontal direction. Accordingly, the resolution of

the image reproduced at the decoding side can be improved first in the horizontal direction in the case of Fig. 10 and first in the vertical direction in the case of Fig. 11.

Processing of coefficients within a subband may be performed in any of the orders shown in Fig. 12.

The subband is horizontally scanned from above left to below right (part designated by (1) of Fig. 12) or vertically scanned from above left to below right (part (2) of Fig. 12) or scanned spirally from the center of the subband to the outside thereof (part (3) of Fig. 12). In Fig. 12, coefficients in a subband are processed by one scanning as shown in each part (a) of parts (1) to (3) and coefficients in a subband are processed by scanning twice as shown in each parts (b) and (c) of the parts (1) to (3) respectively.

In part (c) in Fig. 12, each arrow shows a coefficient or a set of plural (t) coefficients. A set of (t) coefficients is transferred in the direction indicated by the arrow, a subsequent set of (t) coefficients is not transferred and a further subsequent set of (t) coefficients is transferred in the direction indicated by the arrow. This steps are repeated in first scanning process. The coefficients left not-transferred in the first scanning process (shown as arrows with real lines) are transferred in

the second scanning process (shown as arrows with broken lines) in the similar way as in the first scanning process.

As shown in parts (4) and (5) of Fig. 12, it is also possible to process coefficients of a subband by scanning three or more times. For example, a horizontal interval and a vertical interval between coefficients to be processed by n-th scan are expressed as dx and dy respectively. If dx=dy=1, all coefficients one raster scan. If dx=dy=2 and are encoded by vertical positions horizontal and οf coefficients are determined as (y, x), the scan encodes coefficients at the positions (0, 0), (0,  $(0, 4) \dots (2, 0), (2, 2), (2, 4) \dots (4, 0), (4, 4)$ 

the second scan encodes coefficients at the positions (0, 1), (0, 3), (0, 5)...(2, 1), (2, 3), (2, 5)...(4,

1), (4, 3), (4, 5) ...;

2), (4, 4) ...;

BNSDOCID: <WO\_\_9854903A1\_I\_>

the third scan encodes coefficients at the positions (1, 0), (1, 2), (1, 4)...(3, 0), (3, 2), (3, 4)...(5, 0), (5, 2), (5, 4)...;

the fourth scan encodes coefficients at the positions (1, 1), (1, 3), (1, 5), (3, 1), (3, 3), (3, 5), (5, 1), (5, 3), (5, 5). In short, all coefficients are encoded by four scans.

By generalizing this process as (dx=DX,dy=DY), the

first scan in case of part (1) in Fig. 12 encodes coefficients, as shown part (4) in Fig. 12 at the positions of (0, 0), (0, DX), (0, 2\*DX) ...;

the second scan encodes coefficients at the positions  $(0, 1), (0, DX+1), (0, 2*DX+1) \dots$ ;

the third scan encodes coefficients at the positions (0, 2), (0, DX+2)...(0, 2\*DX+2) ...;

. . . . . . . .

DX round scan encodes coefficients at the positions (0, DX-1), (0, 2\*DX-1), (0, 3\*DX-1) ...;

(DX+1) round scan encodes coefficients at the positions (1, 0) (1, DX), (1, 2\*DX) ...;

(DX+2) round scan encodes coefficients at the positions (1, 1) (1, DX+1), (1, 2\*DX+1) ...;

(DX\*DY) round scan encodes coefficients at the positions (DY-1, DX-1) (DY-1, 2\*DX-1), (DY-1, 3\*DX-1) ... (2\*DY-1, DX-1) (2\*DY-1, 2\*DX-1), (2\*DY-1, 3\*DX-1). In short, all coefficients are encoded by (DX\*DY) scans. Part (2) in Fig. 12 is reverse to part (1) in Fig. 12 as to vertical and horizontal directions. The coefficients can be processed by scanning as shown in part (5) Fig. 12 at horizontal and vertical intervals of (dx=DX, dy=DY).

In comparison with coefficients encoded by raster scanning from the top left of a frame, the subband

coefficients encoded by scanning at intervals provided between the respective coefficients can reproduce an image whose content can be recognized at an earlier stage of decoding and which image can give better subjective impression by the effect of gradually improving the quality of the decoded image.

An example of an integrated component unit containing a plurality of subbands included in the respective components is described below. Fig. 13 shows another transmitting order of coefficients of the subband image of Fig. 9. In a part of (b) of Fig. 13, there is presented an example of encoding a subband image in four layers. Blocks (Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>), (U<sub>2</sub>, U<sub>3</sub>, U<sub>4</sub>) and (V<sub>2</sub>, V<sub>3</sub>, V<sub>4</sub>) of in the part (b) of Fig. 13 consist each of three (m=3) subbands as shown in a part (a) of Fig. 13.

Namely, three subbands (1, 2, 3) shown in the part (a) of Fig. 13 correspond to one element set in an integrated component unit. As these three subbands are treated as one element, three coefficients having the same relative positions in respective three subbands are treated as one set as shown in a part (c) of Fig. 13.

Three coefficients existing at the same relative positions in the respective three subbands in Fig. 13 are supposed as one coefficient, i.e., a

(coefficient  $Y_{HL1}$ , coefficient  $Y_{LH1}$ , coefficient  $Y_{HH1}$ ) are represented by a (coefficient  $Y_4$ ). The component  $Y_{LH1}$  in each of the layers shown in the part (b) of Fig. 13 is transferred first in the scanning order shown in Fig. 12. Similarly, the component U is transferred next and the component V is then transferred. Coefficients of subband images of the same resolution levels in horizontal, vertical and diagonal directions are transmitted together from the coding side, so the resolutions of a reproduced image in horizontal, vertical and diagonal directions are increased at a time at the decoding side.

According to another method for treating three subbands as one element, a subband 1 is first transmitted completely, a subband 2 is then transmitted completely and a subband 3 is finally transmitted. Referring to Fig. 13, the subband 1 of the component Y is transmitted in the scanning order shown in Fig. 12. Next, the subband 2 of the component Y is transmitted in the same scanning order and then the subband 3 of the component Y is transmitted in the same scanning order. Subsequently, the subbands 1, 2 and 3 of the component U are transmitted one by one in the same scanning order as that for the component Y. Finally, the subbands 1, 2 and 3 of the components V are transmitted one by one in the same scanning order as

that for the component Y.

An integrated component unit includes elements (the subband 1 of the component Y, the subband 2 of the component Y, the subband 3 of the component Y, the subband 1 of the component U, the subband 2 of the component U, the subband 2 of the subband 1 of the component V, the subband 2 of the component V, the subband 2 of the component V, the subband 3 of the component V). In this case, resolution of an image reproduced at a decoding side is increased in a horizontal direction, vertical direction and diagonal direction in the described order. Transmission of these three subbands in the order of subband 2, subband 1 and subband 3 causes an increase in resolution of the image in the horizontal, vertical and diagonal directions in the described order at the decoding side.

Several examples of transmitting subband coefficients may be selectably used. In case if a codable image is known to be of higher resolution in a specified direction, coefficients of a subband in the known high-resolution direction are transferred first side and the transferring order at the coding rearranged at the decoding side to earlier reproduce the coefficients of the subband image in the known high-resolution direction. This makes it possible to increase the quality of images in the decoding process

at the decoding side. In this instance, it is necessary to inform the decoding side of the transmitting order in which coefficients are encoded by placing such information in the coded data.

The transmitting-order deciding/rearranging portion 104 shown in Fig. 7 decides integrated component units (in the case of Fig. 9) to be of subbands  $(Y_1, U_1, V_1)$ , where i= 1 to 10 indicating the order of transmitting subbands), rearranges subbands in the order of  $(Y_1,U_1,V_1)$ ,  $(Y_2,U_2,V_2)$ ,...,  $(Y_{10},U_{10},V_{10})$  as shown in Figs. 10 and 11 or in the order of  $(Y_1,U_1,V_1)$ ,  $(Y_2,U_2,V_2)$ ,...,  $(Y_4,U_4,V_4)$  as shown in the part (b) of Fig. 13 and, then, outputs the rearranged coefficient-coded data to the variable-length coding portion 104.

Although the above-mentioned embodiment treats all coefficients in a subband as one group, it may also prepare an integrated component unit by using a coefficient or a plurality of coefficients in a subband as a group. The following example treats one line in a subband as a group of coefficients.

Fig. 14 shows an integrated component unit consisting of horizontal lines one in each of the subbands, which is expressed as follows:

 $(Y_i(y), U_i(y), V_i(y))$ , where  $Y_i(y)$ ,  $U_i(y)$ ,  $V_i(y)$  are one-line data of respective subbands Y, U and V, i=1 to 10 indicates the order of transferring subbands and

y denotes each line number in subbands.

In this instance, the order of transferring the integrated component units is as follows:

 $(Y_1(0), U_1(0), V_1(0)), (Y_1(1), U_1(1), V_1(1)), \dots,$   $(Y_2(0), U_2(0), V_2(0)), (Y_2(1), U_2(1), V_2(1)), \dots,$ 

 $(Y_{10}(0), U_{10}(0), V_{10}(0)), (Y_{10}(1), U_{10}(1), V_{10}(1)), \dots$ 

In Fig. 14, an integrated component unit consists of horizontal lines one in each of the subbands, which corresponds to the scanning order shown in the part (a) of part (1) of Fig. 12. Besides this, it is also possible to prepare an integrated component unit composed of vertical lines one in each of the subbands as shown in the part (a) of part (2) of Fig. 12. In this instance, components Y, U, V may be expressed each by one arrow. This processing is done on all subbands in the order shown in Fig. 10, Fig. 11 or the part (b) of Fig. 13.

It is also possible to prepare an integrated component unit composed of coefficients one in each of the subbands, which is expressed as:

 $(Y_i(y,x), U_i(y,x), (V_i(y,x)), \text{ where } Y_i(x,y), U_i(x,y),$   $V_i(x,y) \text{ are coefficients one in respective subbands } Y,$  U and V, i=1 to 10 indicates the order of transferring subbands, y denotes a position in vertical direction in a subband, x denotes a position in

horizontal direction in a subband. In this instance, the transmitting order may be any of the orders shown in parts (1), (2) and (3) of Fig. 12. The processing is made on all subbands in the order shown in Figs. 10, Fig. 11 or the part (b) of Fig. 13.

Fig. 15 is a flow chart depicting an example of the operation of the transmitting order deciding and rearranging portion 104 of Fig. 7. In the shown case, the integrated component unit may be changed over from the subbands to coefficients (one or more groups of coefficient) or vice versa. The portion may be designed to operate by using only one of the two units.

The video coding device according to the first embodiment of the present invention can prepare coded data having a hierarchical structure by decomposing an input image composed of a plurality of components Y, U and V into subband images and encoding the subband images in an ascending order of their resolution starting from the lowest-resolution subband.

In comparison with the conventional device that integrates components Y, U and V according to only the scanning line base, the first embodiment of the present invention can perform adaptive encoding input video data in view of the data characteristics by applying integrated component units according to subband-based and/or coefficient-based integration of the components

Y, U and V.

BNSDOCID: <WO\_\_\_9854903A1\_I\_>

Referring now to Fig. 8, a video decoding device embodying the present invention will be described below in detail. This video decoding device is intended to decode video data prepared by the video coding device according to the first embodiment of the present invention.

In Fig. 8, the video-decoding device comprises a portion (variable-length variable-length decoding decoding means) 201, a decoded-data counting portion means) 202, a decoding (decoded data counting truncating portion (decoding truncating means) 203, a data completing portion (data completing means) 205, a coefficient decoding portion (coefficient decoding means) 206 and a subband synthesizing portion (subband synthesizing means) 207. These portions are similar in construction to the portions a variable-length decoding portion 2101, a decoded data counting portion 2102, a decoding truncating portion 2103, a data completing portion 2105, a coefficient decoding portion 2106 and a subband synthesizing portion 2107, respectively, of Fig. 4.

In Fig. 8, numeral 204 designates a component separating portion (component separating means, arranging means) for separating coefficient-coded data rearranged by the transfer-order deciding and



rearranging portion of the coding device into data for respective components. The component separating portion 204 rearranges coded data into respective component groups Y, U and V by inverting the procedure that the coding side did.

Accordingly, the component separating portion 204 has a memory (not shown) for storing respective components Y, U and V. This memory has basically the same capacity that the subband decomposing portion 101 of the video coding device has. However, this portion may be designed to separate an integrated component unit into coefficients for respective component groups Y, U, V and output the separated coefficients to the coefficient decoding portion 206 on completion of separation of the integrated component unit. In this instance, the portion may have the memory enough to store the largest integrated-component unit only.

The component separating portion 204 may also be designed to work by successively separating and outputting coefficients of an integrated component unit to the coefficient decoding portion 206. In this case, the component separating portion 204 may have a memory enough to store a plurality of separated coefficients irrespective of the size of any integrated component unit to be separated. The separated coefficients outputted from the component separating portion 204 are



decoded by the coefficient decoding portion 206 and then stored in a memory (not shown) of the coefficient decoding portion 206. In this case, the coefficient decoding portion 206 includes decoded-data arranging means.

Furthermore, it is also possible to write the decoded coefficients in a memory (not shown) for storing frequency-coefficients to be input into the subband synthesizing portion 207.

As described above, the coefficients separated by the component separating portion 204 may be stored in a variety of memory means. For the convenience of further explanation, the component separating portion 204 separates integrated component units by writing separated coefficients in its memory having the same capacity as the memory of the subband decomposing portion 204 of the video coding device has.

For example, an integrated component unit composed of subband data  $(Y_1,U_1,V_1)$  is decomposed into separate elements  $Y_1$ ,  $U_1$  and  $V_1$  respectively. The separated elements  $Y_1$ ,  $U_2$  and  $Y_3$  are written into corresponding subbands positions in a memory. Next, a unit  $(Y_2, Y_2, V_2)$  is decomposed into separate elements  $Y_2$ ,  $Y_2$  and  $Y_3$  that are then written in specified positions of the corresponding subbands in the memory for storing  $Y_2$ ,  $Y_3$  and  $Y_4$ . This processing is done on all the subbands.

In this instance, the order of decoding coefficients in each subband is the same as described the process shown in Fig. 12. For example, the coding side performed scans as shown in the part (1) of Fig. 12, so the decoding side must do scans as shown in the part (1) of Fig. 12. The application of this scanning method causes an image in the decoding process to have resolution increasing in the order of raster scanning from the top left to the down right.

In a particular case when coefficients were encoded by scanning with spacing between them as shown in the part (4) or (5) of Fig. 12, an image being decoded can be easily recognized at an earlier stage of decoding as compared with the raster scanned image. The image may be gradually improved in resolution level, so the image may have better subjective-image quality.

The operation of the component separating portion 204 when processing an integrated component unit composed of one or more groups (sets) of coefficients in subbands is as follows:

Assuming one line in a subband is considered as a group of coefficients, an integrated component unit is expressed as  $(Y_i(y), U_i(y), V_i(y))$  where  $Y_i(y), U_i(y), V_i(y)$  are one-line data of respective subbands Y, U and V, i = 1 - 10 (the order of transmitting subbands) and y designates a position in a vertical direction in a

subband.

 $Y_i(y)$ ,  $U_i(y)$ ,  $V_i(y)$  are separated from each other and written in positions e y> of the corresponding subbands i in the memory for storing Y, U and V. This processing is done on all the lines in the subbands in the order from the lowest-resolution subband to the highest-resolution subband.

When an integrated component unit is composed of coefficients selected one from each subband, it is expressed as:  $(Y_i(y, x), U_i(y, x), V_i(y, x))$  where  $Y_i(y, x), U_i(y, x), V_i(y, x)$  are single-coefficient data of respective subbands  $Y_i(y, x)$  and  $Y_i(y, x)$  are of transmitting subbands) and  $Y_i(y, x)$  designates a position in a vertical direction in a subband and  $X_i(y, x)$  designates a position in a horizontal direction in a subband.

Elements  $Y_1(y, x)$ ,  $U_1(y, x)$ ,  $V_1(y, x)$  are separated from each other and written in positions (y, x) of a coefficient of the corresponding subbands i in the memory for storing Y, U and V. This processing is done on all the coefficients in the subbands from the lowest-resolution subband to the highest-resolution subband.

As described before referring to Fig. 12, the decoding side applies the same coefficient-scanning method as the coding side used even if an integrated

component unit is selected by 1 line or by one coefficient.

The separate coefficients outputted from the component separating portion 204 are combined into respective component groups Y, U and V and then treated as respective groups.

Fig. 16 is a flow chart depicting an exemplified operation procedure of the component separating portion 204. In the instance shown in Fig. 16, the integrated component unit may be changed over from the subbands to coefficients (one or more groups of coefficient) or vice versa. The portion 204 may be provided with either one of the two integrated component units.

Referring to Fig. 8, the operation of the data completing portion 205 will be described below in detail:

In this case, an integrated component unit is composed of one-line data in a subband.

Now let us suppose that the decoding operation was stopped by the action of the decoding truncating portion 203 because the number of bits of the decoded data exceeded a threshold value when data for instance one-line data of integrated component unit shown in Fig. 14, e.g.,  $(Y_1(0), U_1(0), V_1(0))$ ,...,  $(Y_3(5), U_3(5), V_3(5))$  has been decoded. In this instance, coefficients of the subbands 1 and 2 and coefficients



of the first line to the fifth line of the subband 3 have been decoded but the remaining parts have no coefficient.

Data completing portion 205 produces subband coefficients by putting 0 in remaining vacant parts where no data exist. This enables the coefficient decoding portion 206 and the subband synthesizing portion 207 to normally perform subsequent processing steps. Vacant data may also be replaced with any other value than 0. The provision of the decoded data counting portion 202, the decoding truncating portion 203 and the data completing portion 205 enables the decoding side to truncate the decoding operation at any position by user's request.

The data completing portion 205 do nothing while the number of bits of the decoded data does not exceed the threshold value. Accordingly, a maximally expressible value may be previously set as the threshold value in case of decoding all the coded data. The embodiment may be a system of Fig. 8, which in this instance omits the decoded data counting portion 202, the decoding truncating portion 203, the data completing portion 205.

The coded data of the hierarchical structure, which represents an image composed of a plurality of components Y, U, V, can be decoded at the decoding

side. The coded data of the hierarchical structure allows the progressive decoding the coded data, whereby the quality of the entire reproduced image is sequentially improved. As compared with the prior art method limited to the integrated component units of lines one for each component, the present invention enables the system to conduct a variety of progressive reproduction of the coded image. For example, the present invention method can uniformly improve the resolution of the reproduced image in horizontal, vertical and diagonal directions, whereas the prior art method improves the image resolution in the horizontal direction before the other directions.

A second embodiment of the present invention for coding and decoding an image composed of components having different sizes is described below. The second embodiment of the present invention is similar in construction to the first embodiment except for the operation of the transfer-order deciding and rearranging portion 104 (step of outputting an integrated component unit). Therefore, the same portions as the first embodiment are not explained further. Only the transfer-order deciding and rearranging portion 104 will be described below.

For example, a format of 4:2:0 in which components U and V have a horizontal and vertical size being one-

half that of the component Y is used. Fig. 17 shows resultants of three times of band-decomposition of each the component Y whose size is Y\*X and the components U and V whose respective size is Y/2\*X/2. In this case, the subbands U and V are each half the size of the component Y in horizontal and vertical directions. Respective components Y, U and V have the same number of subbands.

Accordingly, no problem arises with subband-based integrated units when applying the same scanning method and the same transmitting order as the first embodiment used. However, there may be a trouble with an integrated component unit composed of one or a plurality of coefficient.

The subbands Y, U, V are different in size each other, so the large-sized component Y may have excess coefficients i f an integrated component unit is composed of the same number of coefficients per subband as described before in the first embodiment of the present invention. Therefore, thė number coefficients per component to be included in integrated component unit is set according to the size ratio of respective components if the components are different from each other in size.

A part (1) of Fig. 18 shows the corresponding of coefficients of respective components for an image in

format of 4:2:0as shown in Fig.17. As the components Y, U and V have the same number of subbands per component, the order of transferring the integrated component units is the same as shown in Fig. 10 for the first embodiment of the present invention. In this instance, the ratio of the horizontal and vertical lengths of the components Y, U and V is of 2: 1: 1. so one coefficient of each component U or V corresponds to 4 coefficients of the component Y. Accordingly, the numbers of coefficients for the components Y, U, V to integrated component unit is included in an be determined according to the ratio of 4: 1: 1.

The integrated component units prepared on the basis of the subbands of respective components contain coefficients of the components Y, U and V at the ratio of 4:1:1 and are processed in the same manner as described before for the first embodiment of the present invention.

Accordingly, an integrated component unit for one line per subband is prepared to contain two lines Y, one line U and one line V as shown in Fig. 19 while an integrated component unit for a coefficient group per subband is prepared to contain 2\*2 coefficients Y, one coefficient U and one coefficient V as shown in Fig. 20. In Fig. 19, numerals suffixed one to component data Y, U and V indicate, by way of example, the order of

transferring the data within the respective integrated component units. The integrated component units for Y, U and V are processed one by one for one subband. On completion of processing one subband, the process advances to processing another subband in the order shown in the part (1) of Fig. 18. The order of transferring the subbands may be either one of those shown in Figs. 11 and the part (b) of Fig. 13.

With differently sized components Y, U and V, the video decoding side can decode the coded data transmitted from the coding side by changing the numbers of data of components contained in an integrated component unit according to the ratio of horizontal and vertical sizes of the components and writing the data in the corresponding positions in a memory.

The same scanning method and the same transferring order as described for the first embodiment can be applied in this embodiment when working with the subband-based integrated component units.

The second embodiment of the present invention is similar in construction and function to the first embodiment except for the operation of the transfer-order deciding and rearranging portion 204 (step of separating an integrated component unit into respective components and writing separated data in corresponding

subbands in a memory). Therefore, the further description is omitted.

In the second embodiment of the present invention, it is possible to give coded data a hierarchical structure even if components of an image have different sizes. The decoding side can decode entire decoded data and can also obtain an entire reproduced image from a part of the coded data.

Although the second embodiment has been described with only an image having components whose horizontal and vertical size ratio is 2:1:1, it can treat other size ratios of image components in the similar manner as described above.

A third embodiment of the present invention is adaptable to the case of processing image components being different in size and decomposed into different decomposition levels o f bу the numbers decomposing portion 101 of Fig. 7. This embodiment of present invention is similar to the first embodiment except for the operation of the transferorder deciding and rearranging portion 104 (step of outputting an integrated component unit). Therefore, the same portions are not explained further. Only the transfer-order deciding and rearranging portion 104 will be described below.

Referring to Fig. 21, this embodiment is described

by way of example with an input image whose components U and V have horizontal and vertical lengths being one half of those of the component Y. A part designated by (1) of Fig. 21 shows the results of decomposing the component Y three times and the components U and V twice respectively. As the number of subbands of Y differs from that of U and V, the third embodiment cannot use the transferring methods described for the first and second embodiments and so uses the following method of transferring the subbands.

An integrated component unit composed of subbands of respective components Y, U and V is first described. In this instance (first example), combinations of three-component subbands Y, U and V, prepared from low-resolution subbands of respective sevens οf components Y, U and V as shown in a part (2) of Fig. 21, are transferred one by one to the variable-length coding portion 103 of Fig. 7, then three remaining subbands οf the component Y are transferred independently one by one to the variable-length coding portion 103. In short, each one of low-resolution subbands of respective components Y, U and V, zero pieces of high-resolution subbands of the components U and V and only one of high-resolution subband of the component Y compose respective integrated component units to be output.

Accordingly, the transferring order is expressed as  $(Y_1,U_1,V_1),(Y_2,U_2,V_2),\ldots,(Y_7,U_7,V_7),(Y_8),(Y_9),(Y_{10}).$  In this instance, the subbands of respective Y, U, V component  $(Y_1,U_1,V_1)$ , where i=1 to 7 designates the transferring order, have the same sizes, so the same scanning method as used in the first embodiment can be applied to the case that integrated component unit is subbands or one or more groups of coefficient.

Subbands of components Y, U and V are combined by threes as shown in the part (b) of Fig. 13 to form combinations:

 $(Y_1, U_1, V_1), (Y_2, Y_3, Y_4, U_2, U_3, U_4, V_2, V_3, V_4), (Y_5, Y_6, Y_7, U_5, U_6, U_7, V_5, V_6, V_7), (Y_8), (Y_9), (Y_{10}).$ 

Another example (second example) is shown in Fig. 22. The subband images shown in a part (1) of Fig. 22 are obtained as the result of decomposing the component Y three times and the components U and V twice respectively. In this instance, integrated component units to be transmitted are formed by combining a set of four low-resolution subbands Y with two low-resolution subbands U and V as shown in a part (2) of Fig. 22 and by combining high-resolution subbands of the components Y, U and V with each other by ones as shown in a part (3) of Fig. 22.

The order of transferring the subbands is as follows:  $(Y_1, Y_2, Y_3, Y_4, U_1, V_1), (Y_5, U_2, V_2), (Y_6, U_1, V_2)$ 

 $U_3$ ,  $V_3$ ),  $(Y_7$ ,  $U_4$ ,  $V_4$ ),  $(Y_8$ ,  $U_5$ ,  $V_5$ ),  $(Y_9$ ,  $U_6$ ,  $V_6$ ),  $(Y_{10}$ ,  $U_7$ ,  $V_7$ ).

In the second example, three components have corresponding subbands having different sizes, so the same scanning method as used in the second embodiment can be applied to each integrated component unit.

Subbands  $(Y_5, Y_6, Y_7)$  shown in the part (3) of Fig. 22 corresponds to a vertical-resolution subband, a horizontal-resolution subband and a diagonal-resolution subband respectively and have the same resolution levels. Therefore, each integrated component unit can be prepared by combining respective high-resolution subbands of respective components Y, U and V by threes for each component. This is the third example of preparing an integrated component unit. Similarly, an integrated component unit may be prepared combinations  $(Y_8, Y_9, Y_{10}), (U_2, U_3, U_4), (U_5, U_6, U_7),$  $(V_2, V_3, V_4), (V_5, V_6, V_7).$ 

In this instance, the transmitting order is as follows:  $(Y_1, Y_2, Y_3, Y_4, U_1, V_1)$ ,  $(Y_5, Y_6, Y_7, U_2, U_3, U_4, V_2, V_3, V_4)$ ,  $(Y_8, Y_9, Y_{10}, U_5, U_6, U_7, V_5, V_6, V_7)$ .

In the above-described example, subbands  $Y_1$ ,  $Y_2$ ,  $Y_3$ ,  $Y_4$ ,  $U_1$ ,  $V_1$  are selected as a plurality of low-resolution subbands with keeping the size ratio of Y, U and V (in this example, the horizontal and vertical size ratio is 2:1:1). Besides the above combination,

 $Y_1$ ,  $Y_2$ ,...,  $Y_7$ ,  $U_1$ , ...,  $U_4$ ,  $V_1$  ...,  $V_4$  may also be selected as a plurality of the low-resolution subbands.

A further fourth example which is another variety of above-mentioned second or third example is such that the lowest resolution subbands Y, U, V is extracted from respective groups of low-resolution subbands  $Y_1$ ,  $Y_2$ ,...,  $Y_7$ ,  $U_1$ , ...,  $U_4$ ,  $V_1$  ...,  $V_4$  for respective components and separately transferred as shown in a part (4) of Fig. 22 and a set of remaining low-resolution subbands is then transferred like second or third example. In this instance, the set of the low-resolution subbands is a combination of six Y-component subbands, three U-component subbands and three V-component subbands as shown in a part (5) of Fig. 22. After transmission of all the low-resolution subbands, high-resolution subbands shown in a part (6) of Fig. 22 are transferred.

In the case of combining subbands one for each component (corresponding to the second example), the order of transferring the subbands is as follows:  $(Y_1, U_1, V_1)$ ,  $(Y_2, Y_3, Y_4, Y_5, U_2, V_2)$ ,  $(Y_6, U_3, V_3)$ ,  $(Y_7, U_4, V_4)$ ,  $(Y_8, U_5, V_5)$ ,  $(Y_9, U_6, V_6)$ ,  $(Y_{10}, U_7, V_7)$ .

 $V_3$ ,  $V_4$ ),  $(Y_8$ ,  $Y_9$ ,  $Y_{10}$ ,  $U_5$ ,  $U_6$ ,  $U_7$ ,  $V_5$ ,  $V_6$ ,  $V_7$ ).

For components having subband decomposition levels of not less than 4, subbands having resolution levels higher than that of a subband shown in a part (6) of Fig. 22 are the same in quantity for components Y, U and V. In this instance, integrated component units composed each of a combination of the same number of subbands Y, U, V are subsequently transmitted.

In the above examples from first to fourth, the transmitting order of the three subbands which have the same resolution levels in horizontal, vertical and diagonal directions shall not be restricted. In other words, for three subbands which have the same resolution levels as shown in a part (a) of Fig. 13, the transmitting order of these three subbands may be not only subband1, subband2, subband3, but also subband2, subband1, subband3, for example.

In the first example, the video decoding side can decode the coded data received from the coding side by separating components in each integrated component unit into groups of respective components Y, U and V, writing separated data in the corresponding subband areas in the memory and finally writing three highest-resolution subbands in the corresponding subband area of the memory.

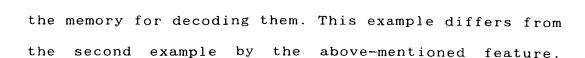
In the second example, the video decoding side can

BNSDOCID: <WO 9854903A1 | >

decode the coded data received from the coding side by separating the subbands in each integrated component unit by reversing the process made by the coding side and writing the subbands of each component in the corresponding subband area in the corresponding memory. In this case, the third embodiment differs from the first and second embodiments by the fact that only the first integrated component unit is prepared from a plurality of the low-resolution subbands by the coding side and contains four subbands Y, one subband U and one subband V.

As described above, the number of low-resolution subbands to be combined with each other can be freely selected. For example, an initial integrated-component unit may contain seven Y-component subbands, four U-component subbands and four V-component subbands.

In the third example, each integrated component unit can be decomposed into subbands of respective components Y, U and V by the same method as described for the second example and written into corresponding subband areas (for Y, U and V components) in the memory for decoding them. Since the high-resolution subbands Y, U and V have been combined by threes in an integrated component unit at the coding side, the high-resolution subbands Y, U and V are recorded by threes for each component in corresponding subband areas in



PCT/JP98/02349

In the fourth example, the video decoding side can decode the coded data received from the coding side by separating the subbands in each integrated component unit in the same manner as shown for decoding device in second or third example and writing the subbands of each component in the corresponding subband area in the corresponding memory. This example differs from the second and third examples by the fact that the lowest-resolution subbands of the respective components Y, U, V are first stored in the corresponding subband areas (Y, U, V) in the memory for decoding and then low-resolution subbands other than the lowest-resolution subbands are stored in the corresponding areas (Y, U, V) in the memory.

The video decoding device according to the third embodiment of the present invention is similar to that of the first embodiment except for the operation of the component separating portion 204 (step of separating each integrated component unit into respective components and writing the separated data in the corresponding areas in a memory). Therefore, further explanation is omitted.

With integrated component units each composed of one or more groups of coefficients such as lines

WO 98/54903

instead of the subbands for respective components Y, U and V, the third embodiment can apply the same process as described before with the same case in the first and second embodiments based upon the same method as described for the integrated component unit composed the subbands for the respective components Y, U and V.

In the third embodiment of the present invention, an image whose components have different sizes and different decomposition levels can be encoded so that coded data having a hierarchical structure is obtained at the coding side and an entire image is reproduced from the entire coded data or a part of the coded data at the decoding side.

Although the third embodiment has been described by way of example with only an image having components whose size ratio is of 2:1:1, it can treat other size ratios of image components in the similar manner as described above. For example, an image whose components Y. U and V are the same in size and have different ofsubbands can be encoded to numbers hierarchical structure through the same process as described above in the third embodiment. The transmitting orders corresponding to those shown in Figs. 11 and 13 are also adopted besides the described order of Fig. 10.

The three embodiments of the present invention have

been described by way of example with the specified order of transferring the elements Y, U, V in the integrated component units but shall not be limited to that order.

## Industrial Applicability

The present invention brings following advantageous effects.

Firstly, the video coding device and the video decoding device according to the present invention operate with integrated component units whose elements are all frequency coefficients in m (m≥1) respective subbands of respective component An and can therefore transmit and decoded first specified subbands of the image components that may be components Y, U and V and have different levels of influence on human visual property, allowing one to recognize an essence of the image at an earlier stage of decoding at the decoding side. When a codable image is known to be of higher resolution in a specified direction, the coding device can transmit first coded coefficients of resolution-direction subbands and the decoding device can decode those coded coefficients, terminate the decoding in the midway of decoding all coded data and reproduce the image from only data decoded till that time to improve subjective-image quality of the image.

Secondly, the video coding device and the video decoding device according to the present invention operate with integrated component units whose elements are m (m≥1) pieces of frequency coefficients having the same relative positions in  $m (m \ge 1)$  respective subbands of respective components A<sup>n</sup> and can decode those coded coefficients, terminate the decoding in the midway of decoding all the coded data and reproduce the image from only the data decoded till that time to improve subjective-image quality of the image when the image is composed of components R, and G В that substantially almost the same influence on human visual property.

Thirdly, the video coding device and the video decoding device according to the present invention can be adapted to process an image whose luminance and chrominance components are different from each other by great advantage over having a the resolution, conventional method that can be applied to an image whose components have the same resolution. This feature provided by the present invention is very desirable in particular to digital image processing since many digital images are usually formatted to have higher resolution of the luminance component than that of chrominance component.

Fourthly, the video coding device and the decoding device according to the present invention can be adapted to process an image whose luminance and chrominance components are different from each other by resolution and have different subband-decomposition levels, getting a great advantage over the conventional method that can be applied to an image whose components have the same resolution and the same number of subbands. This feature provided bу the present invention is very desirable in particular to digital image processing since many digital images are usually formatted to have higher resolution of the luminance component than that of chrominance component and it is general to use the different subband-decomposition levels according to the components' resolution levels.

Fifthly, the video coding device and the video decoding device according to the present invention can be adapted to process an image whose luminance and chrominance components have different resolution levels and different subband-decomposition levels, getting a great advantage over the prior art method that can be applied to an image whose components have the same resolution and the same number οf subbands. Furthermore, these aspects of the present invention provide such a feature that each integrated component unit always reflects the ratio of the number of

respective components contained in an input image. This feature eliminates the need for decoding, redundant data at the decoding side when decoding the amount of data according to the resolution of the display unit.

Sixthly, in addition to the fifth advantageous effect above-mentioned, the video coding device and the video decoding device according to the present invention can first separate and transmit lowest-resolution subbands of respective components A<sup>n</sup> to first present the summary of an image, making it possible to improve subjective quality of the reproduced image.

## CLAIMS

1. A video coding device provided with a subbanddecomposing means for decomposing an image being composed of N ( $N \ge 2$ ) kinds of luminance or chrominance components into subband images for each of components  $A^n$  (1 $\le$ n $\le$ N, where n is an integer) composing an image to coefficient coding means for encoding a be coded, frequency coefficient οf the subband images, rearranging means for preparing integrated component units by combining frequency coefficients included in respective components An according to the coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit including the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by setting therein the frequency coefficients contained in the components An, said coefficients being all frequencycoefficients included in m ( m ≥ 1 ) subbands for respective components An when the components An have

the same size and the same number of subbands.

2. A video coding device provided with a subbanddecomposing means for decomposing an image being composed of N (N≥ 2) kinds of luminance or chrominance components into subband images for each of components  $A^n$  ( $1 \le n \le N$ , where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient οf the subband images. rearranging means for preparing integrated component units by combining frequency coefficients included in components An according respective to the coded coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the component unit including integrated the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by setting therein the frequency coefficients included in the respective components A<sup>n</sup>, said coefficients being m (m≥1) pieces frequency-coefficients contained at the relative positions in m  $(m \ge 1)$  pieces of the respective components' subbands when the components An have the

same size and the same number of subbands.

- 3. A video coding device provided with a subbanddecomposing means for decomposing an image being composed of N ( $N \ge 2$ ) kinds of luminance or chrominance components into subband images for each of components  $A^n$  (1 $\leq n \leq N$ , where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient οf the subband images, rearranging means for preparing integrated component units by combining frequency coefficients included in respective components An according to the coefficient data prepared by the coefficient coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit including the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variablelength encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by setting therein the different number of frequency-coefficients in respective components An according to each component size when the components An are different in size and have the same number of subbands.
  - 4. A video coding device provided with a subband-

decomposing means for decomposing an image being composed of N ( $N \ge 2$ ) kinds of luminance or chrominance components into subband images for each of components A<sup>n</sup> (1≤n≤N, where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient οf the subband images, rearranging means for preparing integrated component units by combining the subbands included in respective components An according to the coded coefficient data the coefficient prepared bу coding means rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit including the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variable-length encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by combining the same number of lowresolution subbands and the different number of highresolution subbands for the respective components Ar when the components An are different in size and different in the number of subbands.

5. A video coding device provided with a subband-decomposing means for decomposing an image being composed of N ( $N \ge 2$ ) kinds of luminance or chrominance

components into subband images for each of components  $A^n$  (1 $\leq n \leq N$ , where n is an integer) composing an image to be coded, coefficient coding means for encoding a frequency coefficient οf the subband images, rearranging means for preparing integrated component units by combining the subbands included in respective components An according to the coded coefficient data coefficient prepared bу the coding means and rearranging the prepared integrated component units of the coefficient-coded data in an ascending order of subband image resolution, starting from the integrated component unit including the coded coefficient data of the lowest resolution subband, and a variable-length coding means for performing variable-length encoding of the rearranged coefficient-coded data, wherein the rearranging means prepares each of the integrated component units by combining the same number of highfrequency subbands and low-frequency subbands being different in number for respective components Ar when the components An are different in sizes and different in the number of subbands.

6. A video-coding device as defined in claim 5, wherein the rearranging means prepares each of the integrated component units by combining lowest ones of resolution subbands of the respective components A<sup>n</sup> and all other low-resolution subbands being different in

number for respective components  $A^n$  .

A video decoding device provided with variable-length decoding means for decoding variablelength coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decodingstop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component means into specified positions separating respective components An, a coefficient decoding means decoding coded-coefficient data separated and for arranged for respective components  $A^{\mathbf{n}}$  by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components An, wherein the component separating means separates the integrated component

WO 98/54903 PCT/JP98/02349

unit as combinations of all frequency coefficients contained in  $m(m\geq 1)$  subbands for respective components  $A^n$  when the components  $A^n$  have the same size and the same number of subbands.

A video decoding device provided with variable-length decoding means for decoding variablelength coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decodingstop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component means into specified positions separating respective components An, a coefficient decoding means decoding coded-coefficient data separated arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of

BNSDOCID: <WO 9854903A1 L >

data decoded by the coefficient decoding means for respective components  $A^n$ , wherein the component separating means separates the integrated component unit as combinations of m  $(m\geq 1)$  pieces of frequency coefficients having the same relative positions in respective m  $(m\geq 1)$  subbands of the respective components  $A^n$  when the components  $A^n$  have the same size and the same number of subbands.

9. A video decoding device provided with variable-length decoding means for decoding variablelength coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decodingstop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the into specified positions separating means respective components An, a coefficient decoding means

decoding coded-coefficient data separated for arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components An, wherein the component separating means separates the integrated component unit as combinations of different number of the frequency coefficients for respective components  $A^{\mathbf{n}}$ according to respective component sizes when the components An are different in size and have the same number of subbands.

variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decoding-stop command when the number of decoded bits exceeds the given number of bits, a component separating means for separating the decoded integrated component unit into respective components A<sup>n</sup>, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a

WO 98/54903 PCT/JP98/02349

screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions respective components A<sup>n</sup>, a coefficient decoding means for decoding coded-coefficient data separated and arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components A<sup>n</sup>, wherein the component separating means separates the integrated component unit as combinations of the same number of lowresolution subbands and the different number of highresolution subbands for respective components An when the components A<sup>n</sup> are different in size and different in the number of subbands.

11. A video decoding device provided with a variable-length decoding means for decoding variable-length coded data, a decoded-data counting means for counting bits of each integrated component unit decoded by the variable-length decoding means, a decoding truncating means for comparing the number of bits counted by the decoded-data counting means with an externally-given number of bits and giving a decoding-stop command when the number of decoded bits exceeds the given number of bits, a component separating means

WO 98/54903 PCT/JP98/02349

for separating the decoded integrated component unit into respective components An, a data completing means for compensating for lack of truncated data by adding a specified value to each of the components composing a screenful image, data arranging means for arranging coded coefficient data separated by the component separating means into specified positions for respective components An, a coefficient decoding means for decoding coded-coefficient data separated arranged for respective components An by the component separating means, and a subband synthesizing means for reproducing a decoded image by combining subbands of data decoded by the coefficient decoding means for respective components An, wherein the component separating means separates the integrated component unit as combinations of the same number of highdifferent pieces resolution subbands and οf lowresolution subbands for respective components An when the components An are different in size and different in the number of subbands.

12. A video-decoding device as defined in claim 11, wherein the component separating means separates the integrated component unit as combinations of subbands for respective components, each combination composed of one lowest resolution subband and different numbers of all other low-resolution subbands.

FIG.1A

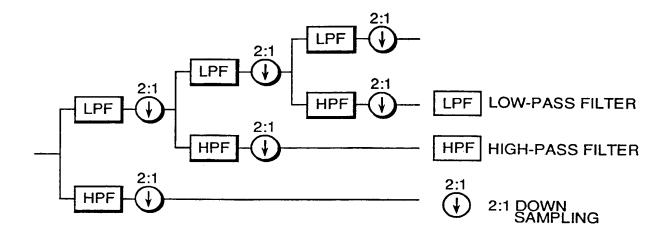
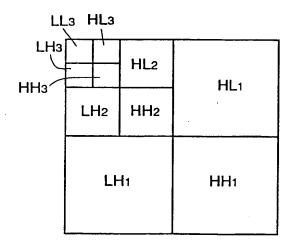
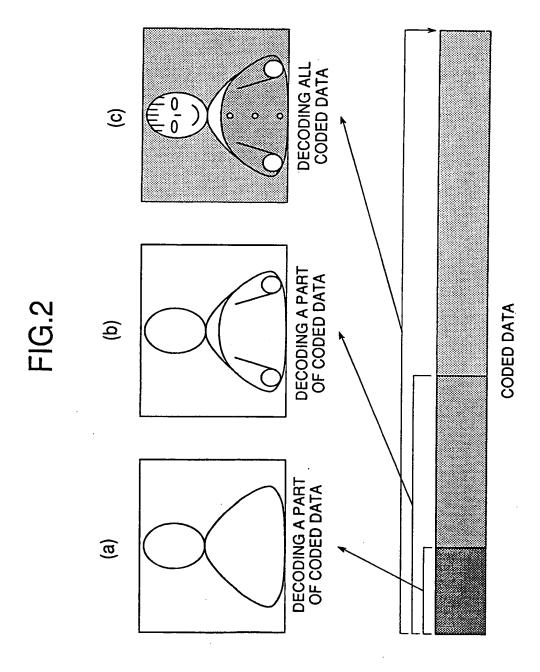


FIG.1B





2/20

大き 大学 大学 大学

FIG.3

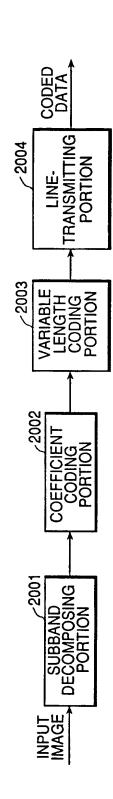
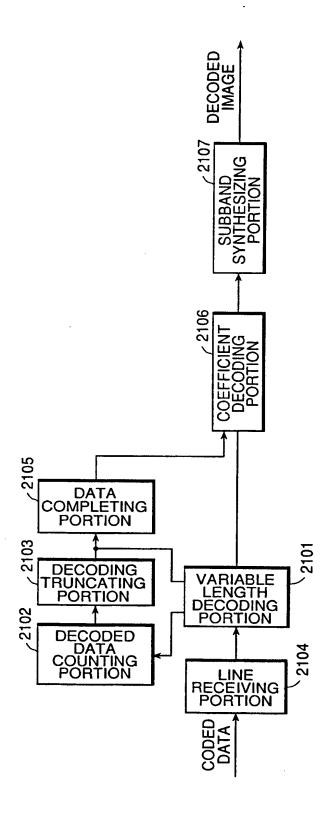
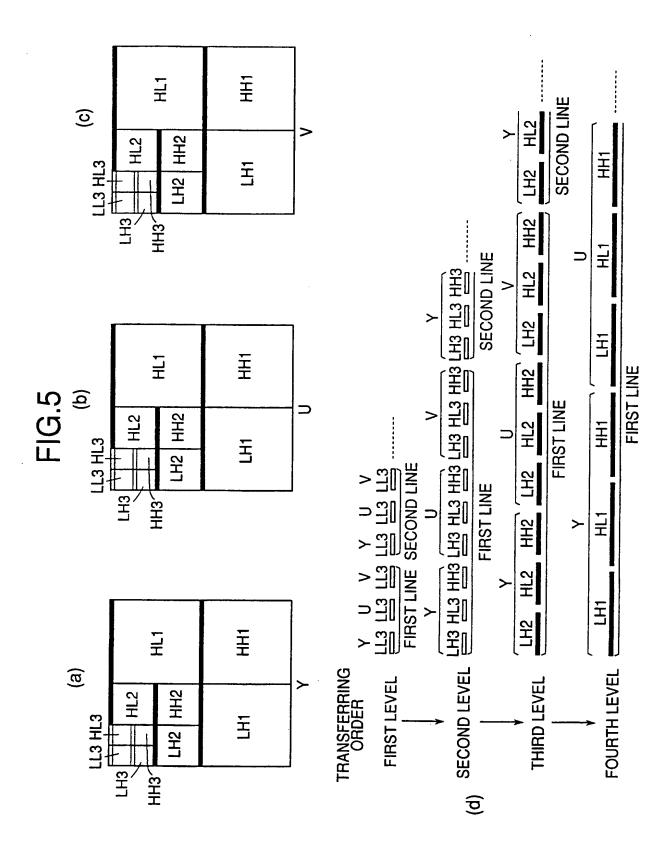


FIG.4





5/20

FIG.6

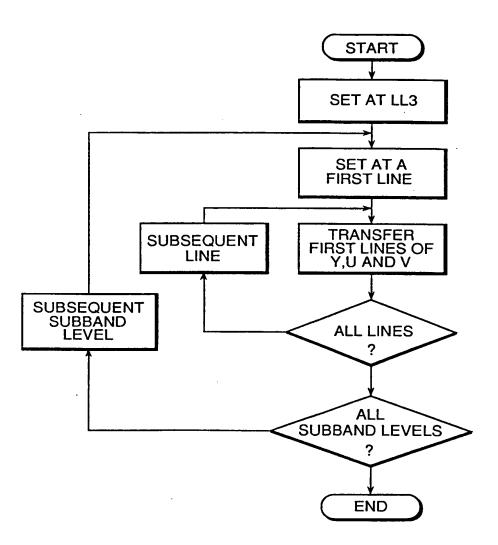
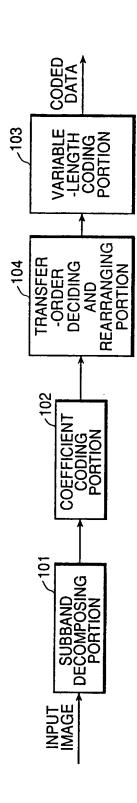
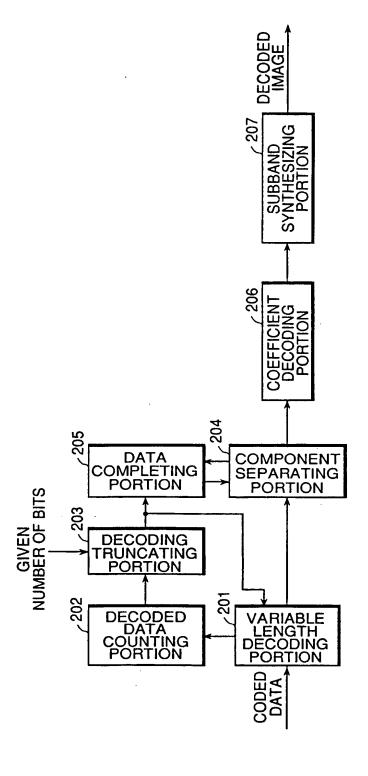
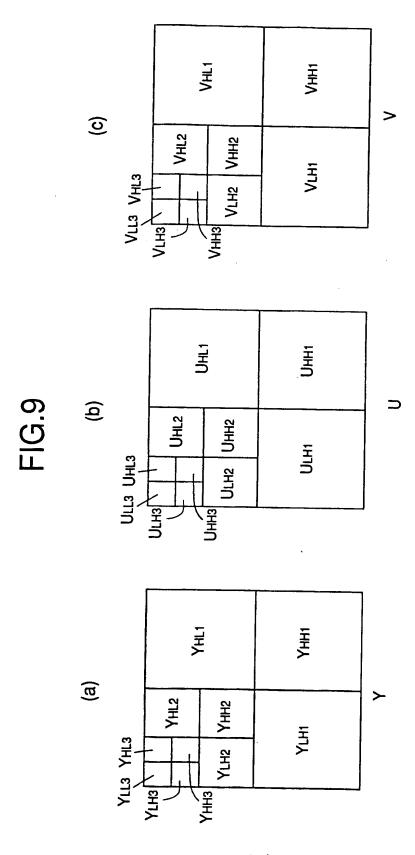


FIG.7











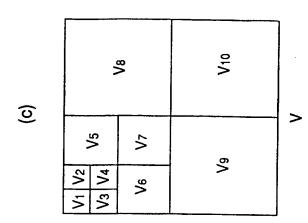
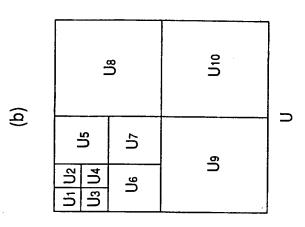


FIG.10



(a) \frac{\gamma\_1 \gamma\_2}{\gamma\_3 \gamma\_4} \gamma\_5 \gamma\_6 \gamma\_7 \gamma\_9 \gamma\_7 \gamma\_7

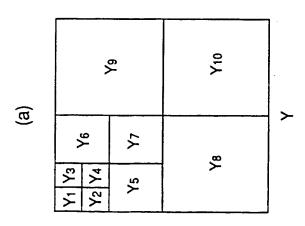
(C)

V<sub>2</sub> V<sub>4</sub> V<sub>6</sub>

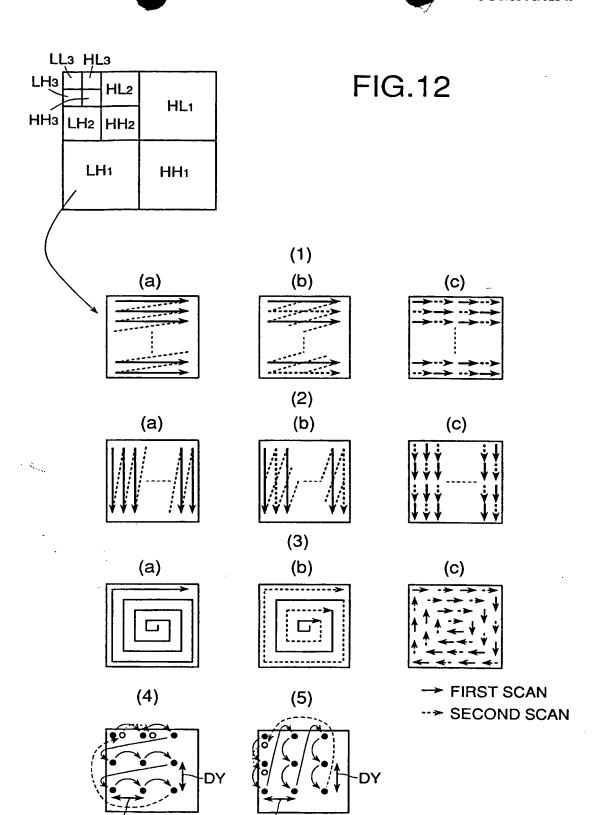
V<sub>5</sub> V<sub>7</sub>

V<sub>8</sub>

V<sub>10</sub>



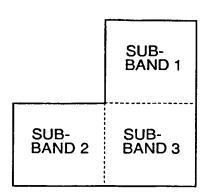
11/20



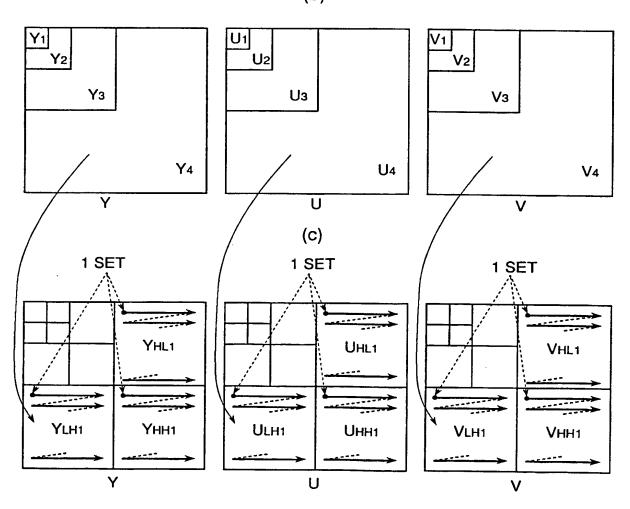
12/20

FIG.13

(a)



(b)



13/20

FIG.14

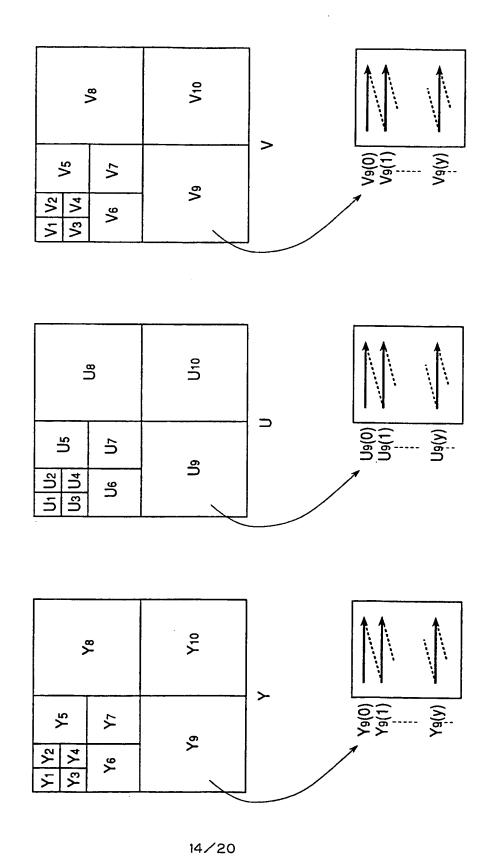
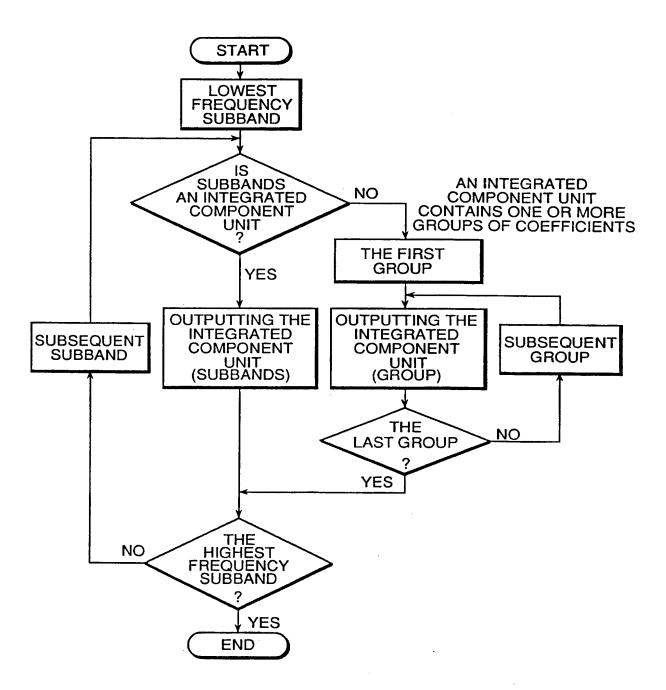
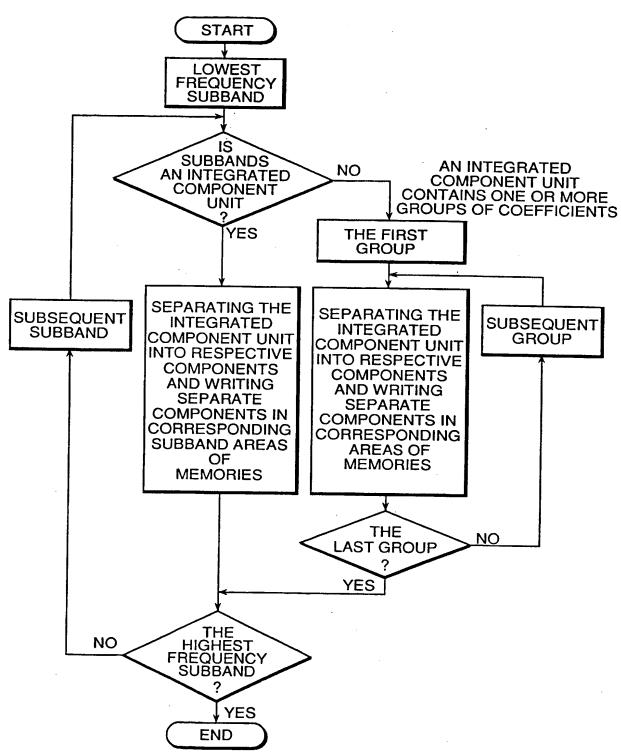


FIG.15

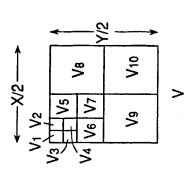


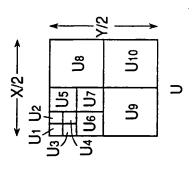
**FIG.16** 

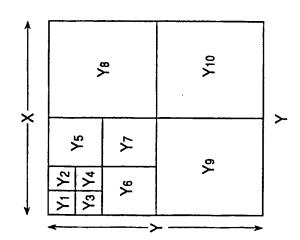


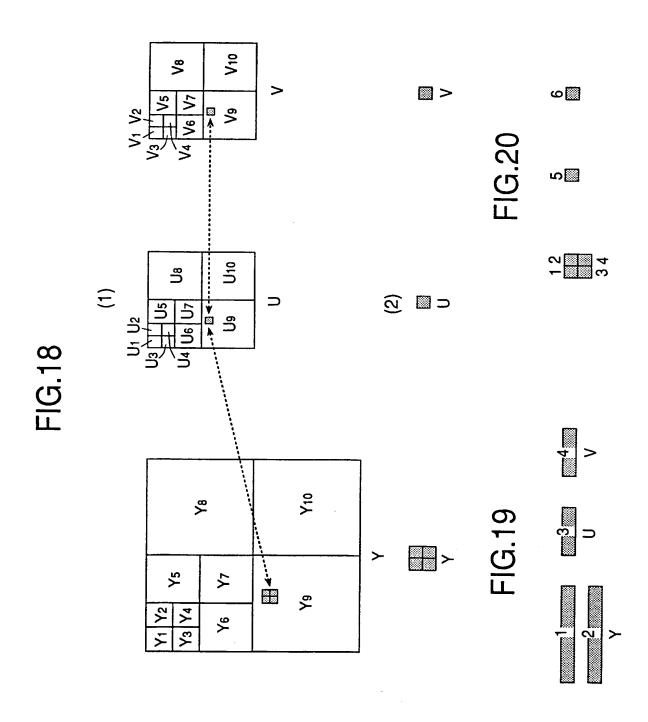
1

FIG.17









18/20

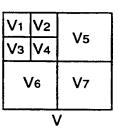
Ĭ

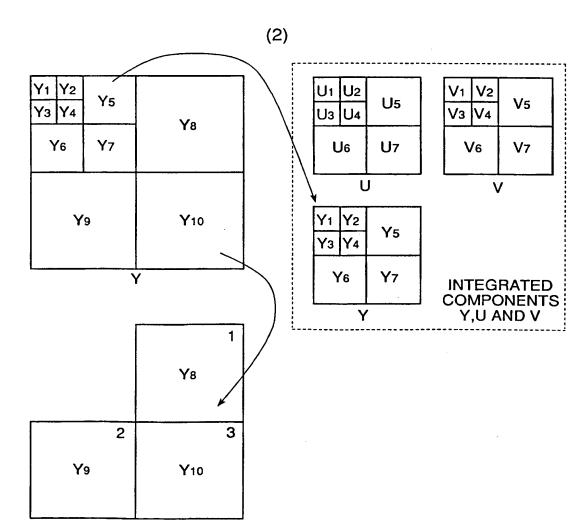
## FIG.21

(1)

Y1 Y2 Y3 Y4	<b>Y</b> 5	Va			
Y6	Y7	Y8			
Y	9	<b>Y</b> 10			
	```	/			

U1	U2	l le		
Uз	U4	U5		
ι	J6	U7		
U				





19/20

## FIG.22

(1)

Υ	Y1Y2 Y3Y4	<b>Y</b> 5	Y8
	Y6	<b>Y</b> 7	
	Y	9	<b>Y</b> 10

U	U1U2 U3U4	U5
	U6	U7

٧	V1V2 V3V4	V5
	V <sub>6</sub>	V7

Y 1 2 3 4 (2) U 1

V 1

Y 5 8 6 7 9 10

(4)

(5)

V 2 5 3 4 5 6 7

Y 1

υ 1

V 1

2 5 3 4 5 6 7

3 4

3 4

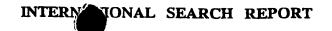
.

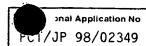
9 10

5 6 7

(6)

5 6 7





A. CLASSI IPC 6	HO4N7/26 HO4N11/04		
According	to International Patent Classification (ISC) or to both notional place	neification and IPC	
	to International Patent Classification (IPC) or to both national clas S SEARCHED	ssincation and IPO	
	ocumentation searched (classification system followed by classif HO4N	fication symbols)	
Documenta	ation searched other than minimum documentation to the extent the	hat such documents are included in the fields se	arched
Electronic d	data base consulted during the international search (name of dat	ta base and, where practical, search terms used	)
C. DOCUM	MENTS CONSIDERED TO BE RELEVANT		
Category <sup>3</sup>	Citation of document, with indication, where appropriate, of the	e relevant passages	Relevant to claim No.
Х	US 5 455 578 A (BHANDARI RAJAN 3 October 1995 see column 3, line 64 - column see figures 1-4		1,7
X	JP 08 242379 A (MICROSOFT CORP 17 September 1996 cited in the application & GB 2 295 936 A (MICROSOFT CO 12 June 1996 see abstract see page 14, line 19 - page 19 see figures 6,7	ORP)	2,8
X Furt	ther documents are listed in the continuation of box C.	-/  γ Patent family members are listed	in annex.
<u> </u>	ategories of cited documents :		
"A" docume consider filing of the citatio "O" docume other "P" docume other "P" docume other "P" docume consider "P" docume co	nent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international	"T" later document published after the inte or priority date and not in conflict with cited to understand the principle or the invention "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the discourant of particular relevance; the cannot be considered to involve an indocument is combined with one or ments, such combination being obvious in the art.  "&" document member of the same paten	n the application but neory underlying the claimed invention of be considered to ocument is taken alone claimed invention nventive step when the lore other such docu- bus to a person skilled
	e actual completion of theinternational search  23 September 1998	Date of mailing of the international se 05/10/1998	arch report
	mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Authorized officer  Hampson . F	

1

### INTERMIONAL SEARCH REPORT

PCT/JP 98/02349

	PCT/JP 98/02349
Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
US 4 827 336 A (BUNTING RICHARD M ET AL) 2 May 1989 see column 2, line 50 - column 2, line 64 see column 6, line 55 - column 7, line 55 see column 11, line 21 - column 11, line 29 see figure 2	3,9 4-6, 10-12
EP 0 570 818 A (SONY CORP) 24 November 1993 see column 8, line 47 - column 9, line 15 see figure 11	3,9
US 5 260 781 A (SOLOFF JONATHAN M ET AL) 9 November 1993 see column 8, line 3 - column 8, line 26 see column 9, line 22 - column 9, line 48 see figures 5,8	4,10
	2 May 1989 see column 2, line 50 - column 2, line 64 see column 6, line 55 - column 7, line 55 see column 11, line 21 - column 11, line 29 see figure 2 EP 0 570 818 A (SONY CORP) 24 November 1993 see column 8, line 47 - column 9, line 15 see figure 11 US 5 260 781 A (SOLOFF JONATHAN M ET AL) 9 November 1993 see column 8, line 3 - column 8, line 26 see column 9, line 22 - column 9, line 48 see figures 5,8

Patent document cited in search repor	t	Publication date		Patent family member(s)	Publication date
US 5455578	Α	03-10-1995	GB JP	2268667 A 7074647 A	12-01-1994 17-03-1995
JP 8242379	Α	17-09-1996	DE FR GB	19544094 A 2727817 A 2295936 A,B	13-06-1996 07-06-1996 12-06-1996
US 4827336	Α	02-05-1989	DE DE EP JP WO	3854302 D 3854302 T 0394332 A 3502631 T 8906082 A	14-09-1995 18-04-1996 31-10-1990 13-06-1991 29-06-1989
EP 0570818	Α	24-11-1993	JP US	6038198 A 5420636 A	10-02-1994 30-05-1995
US 5260781	Α	09-11-1993	GB JP	2258781 A 5206867 A	17-02-1993 13-08-1993

THIS PAGE BLANK (USPTO)

# Multiresolution motion estimation techniques for video compression

Mrinal Kr. Mandal
Eric Chan
Xiping Wang
Sethuraman Panchanathan, MEMBER SPIE
University of Ottawa
Department of Electrical Engineering
Visual Computing and Communications
Laboratory
Ontario, Canada K1N 6N5
E-mail: panch@trix.genie.uottawa.ca

p.d. 01-1996

Abstract. The wavelet transform is a valuable tool in video processing because of its flexibility in representing nonstationary signals. Waveletbased compression has the advantages of efficient decorrelation of image frames and reduced-complexity multiresolution motion estimation (MRME). We propose three techniques to improve motion estimation in a wavelet-based coder. First, we propose to use an adaptive threshold for coding the motion vectors of the high-pass subimages. Secondly, we propose a bidirectional motion estimation (BMRME) technique in the wavelet transform domain. In BMRME, we estimate the temporal (i.e., direction information) flags only for the blocks in the lowest-resolution subimages and use the same information for the corresponding blocks in the higher-resolution subimages. Finally, we propose a fast multiresolution motion estimation technique where the directional subimages at each level of the wavelet pyramid are combined into a single subimage. Multiresolution motion estimation is then performed on the newly formed subimages. The proposed techniques improve the coding performance significantly over the baseline MRME technique. In addition, they further reduce the computational complexity of the MRME technique.

Subject terms: visual communications and image processing; video compression; motion estimation; wavelets; multiresolution motion estimation.

Optical Engineering 35(1), 128-136 (January 1996).

#### 1 Introduction

Digital video transmission is becoming increasingly important with the advent of broadband networks such as the Integrated Services Digital Network (ISDN), asynchronous transfer mode (ATM), etc. We note that digital video data are voluminous, and hence efficient video compression techniques are essential for video archival and transmission. The International Standard Organization (ISO) has recently proposed the MPEG standards for video compression. The standard MPEG-12 has been developed for a targeted data rate of 1.5 Mbits/s. The standard MPEG-23 is an improved version of MPEG-1 and is expected to be used in a variety of applications. These standards employ a block-based motion estimation technique to reduce the temporal redundancy present in a video sequence. To further reduce the spatial redundancy present in the motion-compensated frames, the discrete cosine transform (DCT) is used. We note that motion estimation in MPEG is a computation-intensive task. In addition, DCT has the drawbacks of blocking artifacts, mosquito noise. and aliasing distortions at high compression ratios.

Recently, the discrete wavelet transform (DWT) has become popular in video coding applications for several rea-

sons. 4.5 First, it is efficient in representing videos, which are in general nonstationary in nature. Secondly, wavelets have high decorrelation and energy compaction efficiency. Thirdly, blocking artifacts and mosquito noise are absent in wavelet-based coder, resulting in subjectively pleasing reconstructed images. Fourthly, aliasing distortion can be reduced significantly with proper choice of wavelet filters. Finally, the basis functions match the human visual system (HVS) characteristics.

In DWT coding, the choice of the motion estimation algorithm is crucial and determines the coding performance and complexity of the coder. Alang and Zafar have proposed a multiresolution motion estimation (MRME) technique for estimating the motion vectors in the wavelet domain. This technique estimates the motion vectors hierarchically from lower resolution to higher resolution subimages and thus reduces the complexity significantly. However, there is a potential to further improve the coding performance and the complexity of the MRME technique.

In this paper, we propose several techniques to improve the coding performance of the baseline MRME technique. First, we propose to employ an adaptive threshold for coding the motion vectors in the MRME framework (AMRME). Since the correlation between the corresponding high-pass subimages of the neighboring frames is not significant, AMRME improves the coding performance of the MRME technique. We then propose a bidirectional motion estimation

Paper VIS-07 received June 2, 1995; revised manuscript received Aug. 17, 1995; accepted for publication Aug. 28, 1995.

 <sup>1996</sup> Society of Photo-Optical Instrumentation Engineers, 0091-3286/96/S6.00

(BMRME) technique in the wavelet domain. The proposed BMRME technique can be employed in the design of MPEG-like wavelet coder. Finally, we propose a fast multiresolution motion estimation (FMRME) technique, which has a superior coding performance at a reduced complexity.

This paper is organized as follows. Section 2 provides a brief description of wavelets and MRME technique. In Sec. 3, the proposed algorithms are detailed. The simulation results are provided in Sec. 4, which is followed by conclusions in Sec. 5.

#### 2 Wavelets and MRME Technique

A wavelet transform represents any arbitrary function as superposition of a family of basis functions called wavelets. A family of basis functions can be generated by translating and dilating the mother wavelet corresponding to that family. The main advantages of wavelets are: (1) compactly supported basis functions, (2) an adaptive time-frequency window, and (3) multiresolution capability. A forward DWT can be realized by using a two-channel filter bank as shown in Fig. 1. The signal is passed through a low-pass filter (LPF) and a high-pass filter (HPF), and the outputs of the filter are decimated by two. For reconstruction, the coefficients are upsampled and passed through another set of low-pass and high-pass filters. We note that for orthonormal wavelets, the LPF and HPF are quadrature mirror filters (QMFs).

The 2-D DWT is usually calculated using a separable approach.<sup>8</sup> Figure 2 shows a three-level wavelet decomposition of an image  $S_1$  of size  $a \times b$  pixels. In the first level of decomposition, one low-pass subimage  $(S_2)$  and three orientation-selective high-pass subimages  $(W_2^H, W_2^V, W_2^D)$  are created. In second level of decomposition, the low-pass subimage is further decomposed into one low-pass and three high-pass subimages  $(W_4^H, W_4^V, W_4^D)$ . This process is repeated on the low-pass subimage to form a higher-level wavelet decomposition. In other words, DWT decomposes an image into a pyramid structure of subimages with various resolutions corresponding to the different scales (see Fig. 3). The inverse wavelet transform is calculated in the reverse manner; i.e., starting from the lowest-resolution subimages, the higher-resolution images are calculated recursively.

A typical wavelet-based video coding scheme is shown in Fig. 4. The coder consists of three major modules: wavelet transformation, motion compensation, and quantization. Each frame is wavelet-decomposed into three to five stages. The temporal redundancy that exists in a video sequence is removed by motion compensation. The error frames are then quantized for encoding. It should be noted that other wavelet-based coding schemes do exist. For example, motion compensation can be done before wavelet decomposition, or the

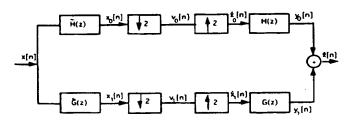


Fig. 1 1-D wavelet decomposition and reconstruction.

residual error frames can be further decorrelated by applying DCT. However, it has been concluded in Ref. 7 that the coding scheme shown in Fig. 4 provides superior coding performance to the techniques just mentioned.

Several motion estimation techniques have been reported in the literature. However, block matching is widely used because of its simplicity. In the block matching process, the current frame (t) of a video sequence is divided into blocks of size  $n \times n$  pixels as shown in Fig. 5. For each block (reference block) in the current frame (t), the previous frame (t-1) is searched within a neighborhood (search area) in order to obtain the best match block with respect to a prespecified error criterion such as the mean squared error (MSE) defined as follows:

$$MSE(u,v) = \sum_{i=1}^{n} \sum_{j=1}^{n} |S(i+u,j+v) - R(i,j)|^{2},$$
$$-p \le u, v \le p , \qquad (1)$$

where R(i, j) is a reference block's pixel in the current frame (t) and S(i+u,j+v) is a candidate block's pixel within a search area in the previous frame (t-1). We note that the search area consists of  $(n+2p)\times(n+2p)$  pixels, where p is the maximum allowed displacement, and the total number of possible candidate blocks is  $(2p+1)^2$ . The most intuitive approach for block matching is to use the full search algorithm (FSA). For each reference block, all possible  $(2p+1)^2$  candidate blocks are searched to obtain the best match, which is used as a prediction estimate for the reference block. The relative displacement between the reference block and the best match block constitutes the motion vector, which is transmitted to the receiver. We note, however, that the execution of the FSA is a computationally expensive procedure. Recently, several fast methods have been proposed for blockbased motion estimation using logarithmic or hierarchical search. However, these algorithms may converge to a local optimum which corresponds to the inaccurate prediction of the motion vectors and results in poor performance.

Recently, a multiresolution motion estimation scheme (MRME) has been reported for wavelet-based video compression. This approach exploits the multiresolution property of the wavelet pyramid in order to reduce the computational complexity of the motion estimation process. In the MRME scheme, the motion vectors at the highest level of the wavelet pyramid are first estimated using the conventional block-matching-based motion estimation algorithm. Then the motion vectors at the next level of the wavelet pyramid are predicted from the motion vectors of the preceding level, which are refined at each step. For example, the motion vectors in  $W_A^H$ ,  $W_A^V$ , and  $W_A^D$  are predicted from the motion vectors in  $W_B^H$ ,  $W_B^V$ , and  $W_B^D$ , respectively. The motion vectors of the lower-level pyramid can be estimated as follows:

$$V_4''(x, y) = 2V_8''(x, y) + \Delta_4''(x, y) , \qquad (2)$$

$$V_2^{o}(x, y) = V_4^{o}(x, y) + 2V_8^{o}(x, y) + \Delta_2^{o}(x, y) , \qquad (3)$$

where  $V_i^o(x,y)$  represents the motion vector of the reference block centered at (x,y) for the o-orientation subimage for various levels of the pyramid. The incremental motion vector

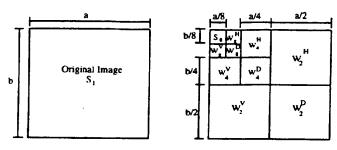


Fig. 2 Wavelet-transformed image.

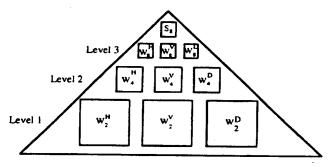


Fig. 3 Wavelet pyramid.

 $\Delta_i(x, y)$  is calculated within a reduced search area centered at  $2V_8''(x, y)$  and  $V_4''(x, y) + 2V_8''(x, y)$  for level-2 and level-1 pyramids, respectively. The subimages of level-3, level-2, and level-1 pyramids are divided into small blocks of size  $n \times n$ ,  $2n \times 2n$ , and  $4n \times 4n$ , respectively. With this structure, the numbers of blocks in all the subimages are identical. As a result, there is a one-to-one correspondence between the blocks at various levels of wavelet pyramid. The search windows for level-3, level-2, and level-1 subimages are p, p/2, and p/4, respectively. The refinement of the motion estimation process is shown in Fig. 6. Table 1 compares the complexity of the FSA with the MRME technique. It is observed that the hierarchical prediction and refinement provides a superior motion estimation at a significantly reduced complexity. The complexity of the MRME technique has been calculated by assuming that the local motion vectors have been searched using FSA. The complexity of the MRME can further be reduced using a logarithmic or hierarchical search.

#### 3 Proposed Techniques

The MRME technique described in the previous section reduces the complexity compared to the FSA. In this section, we propose several techniques to further improve the coding performance of the MRME technique. In Sec. 3.1, we propose to use an adaptive threshold while coding the motion vectors. A bidirectional MRME scheme is then proposed in Sec. 3.2. Finally, a fast MRME technique is proposed in Sec. 3.3. The performance evaluation of the proposed techniques is presented in the next section.

#### 3.1 Adaptive Thresholding Technique

In the MRME technique, the motion vectors corresponding to all the subimages are calculated. However, we note that

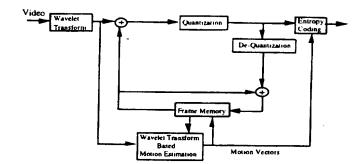


Fig. 4 A simple wavelet-based video coder.

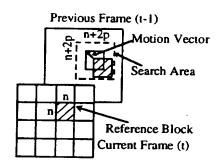


Fig. 5 Block-matching motion estimation process.

the high-frequency subimages of consecutive frames are not correlated even though the consecutive frames are very similar. This is due to the following reasons. Firstly, the DWT is not translation-invariant, i.e., if an image is shifted by a pixel, the transform coefficients will not be shifted by 1 pixel (in the wavelet domain). As a result, the object motion in the spatial domain does not correspond to the translation of coefficients in the wavelet domain. This is especially true for high-pass subimages. For low-pass subimages, great similarity among neighboring images has been observed, mainly because the basis functions are much smoother and thus the effects of translations are averaged. Secondly, the high-pass subimages represent only the edge information and hence they are expected to change rapidly from image to image even with a small change in scene. Although the high-pass subimages cannot be predicted well, the MRME approach performs well in practice because most of the information (or energy) is contained in the low-pass subimages.

We propose to use an adaptive thresholding (AMRME) approach for estimating motion vectors in the high-frequency subimages. If the dissimilarity between the reference block and the best match is greater than a threshold, the block is discarded and the motion vectors corresponding to that block are not coded. A zero block is initialized at the corresponding place in the decoder. This thresholding improves the coding performance in two ways. First, the number of motion vectors to be coded will be less, resulting in a reduced bit rate. Secondly, the objective quality of the reconstruction will improve, since we are discarding the mismatched block.

The choice of threshold is crucial in achieving good performance. Since we have assumed PSNR as the measure of quality, the adaptive threshold can be related to the energy of the reference block. If the threshold is made equal to the

#### MULTIRESOLUTION MOTION ESTIMATION TECHNIQUES FOR VIDEO COMPRESSION

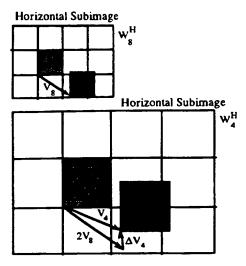


Fig. 6 Multiresolution motion estimation.

Table 1 Computational complexity of various motion estimation algorithms (in operations per pixel).

Full Search B-ME		Multiresolution ME (p=4)			
p=8	p=16	MRME	MRME FMRME BMRME BFM		
8p <sup>2</sup>	8p <sup>2</sup>	0.6p <sup>2</sup> +1.5p	0.25p <sup>2</sup> +0.5p	0.9p <sup>2</sup> + 1.5p	0.4p <sup>2</sup> + 0.6p
512	2048	15.6	6.0	20.4	8.8

energy of the reference block, the image frames will be reconstructed with least distortion (in  $L^2$  metric). However, we note that the rate-distortion function may not be optimal, since the entropy of the motion vectors may be high. Usually, a threshold less than the energy of the block provides better results: We define thresh\_factor as the ratio of the threshold and the energy of the block. Depending on the video sequence, a thresh\_factor between 0.6 and 0.9 provides good coding performance. It should be noted that the complexity of the AMRME is marginally higher than that of the MRME, since in the former one has to calculate the energy of the blocks.

#### 3.2 Bidirectional Motion Estimation

To achieve good coding performance, the MPEG standard<sup>1</sup> suggests that the frames be divided into three categories: I, P. and B frames. The I frames are coded independently of the others. For P frames, the motion vectors are estimated by comparing the P frame with a previous I frame. The motion vectors and the prediction error frame are then sent to the decoder for reconstruction. The B frames are compared with the previous and the next reference frame (I or P frame), and usually three types of predictions are used: forward prediction, backward prediction, and the average of two macroblocks. After the motion estimation is performed, the motion vectors are sent to the decoder. We note that for a fast-moving sequence, the motion vectors alone may not provide good subjective quality of the reconstructed video frames. Reducing the block size, increasing the search window, and sending the error frames help in improving the subjective quality. However, they also increase the bit rate. Our simulation results indicate that it is more beneficial to send the quantized

error frames. Hence, we have implemented an adaptive wavelet-based video coder that sends the error frames corresponding to B frames only when it is necessary, i.e., when the difference between the reconstructed I and B frames exceeds a certain threshold.

Although bidirectional motion estimation improves the coding performance, it also increases the complexity of the coder. More memory is needed in the decoder to store the prediction reference frame (backward frame). An extra picture delay is introduced. Most important, the computational complexity is twice that of unidirectional estimation. In the multiresolution framework, the motion vectors are estimated hierarchically from lower-resolution to higher-resolution subimages. Hence, there is a potential to reduce the computational complexity of bidirectional motion estimation with minimal degradation in performance. We assume a simple version of MPEG bidirectional motion estimation. Only the forward and backward motion estimation are considered in this paper. Although this may degrade coding performance marginally, there are several advantages. First, the encoder sends only one motion vector (instead of two in case of averaging). Secondly, the decoder will have reduced complexity, since it does not have to average the two macroblocks.

In the proposed bidirectional motion estimation, a temporal flag (TFLAG) will have one of two states: 0 or 1, depending on whether the best match is from the previous or from the next reference frame. Since a small region of an image is represented by blocks from various directional subimages, it is highly probable that the temporal flags will be identical for all the corresponding blocks from the subimages of the particular orientation. Hence, in the BMRME technique, we propose to calculate the temporal flags only in the four lowest-resolution subimages (i.e.,  $S_R$ ,  $W_R^H$ ,  $W_R^V$ , and  $W_R^B$ ). The knowledge of the temporal flags of the high-pass subimages at the first level is then used as an estimate for the higher-resolution (lower-level pyramid) subimages in the same orientation. The procedure can be summarized as follows:

- 1. For each block position (x, y), the motion vectors are calculated for all the subimages of the highest-level pyramid with respect to both the previous and the next frame. Initialize  $V_8''(x, y)$ ,  $o \in \{H, V, D\}$  with the better matching motion vectors (between the two frames).
- 2. Set TFLAG<sub>8</sub><sup>o</sup>(x, y) = 0,  $o \in \{H, V, D\}$ , if the reference block matches better with the block from the previous frame. Else, initialize TFLAG<sub>8</sub><sup>o</sup>(x, y) = 1.
- 3. For subimages corresponding to higher levels of the pyramid, TFLAG is no longer calculated. The motion vectors  $V_4''(x, y)$ ,  $V_2''(x, y)$  are estimated using Eqs. (2) and (3) with respect to the previous frame if TFLAG<sub>8</sub>''(x, y) = 0; else the motion vectors are estimated with respect to the next reference frame.

The complexity of the proposed algorithm is twice the complexity of the MRME technique (which is unidirectional) for the first four subimages, and identical to that of MRME for the other six subimages. The overall complexities of MRME and BMRME are compared in Table 1. The complexity is given in operations per pixel (for MSE criteria, an

operation includes one subtraction, one multiplication, and one addition). It is observed that the complexity of BMRME is marginally higher than that of MRME.

To compare the bit rates of MRME and BMRME, we calculate the number of blocks in each subimage as

$$m = \frac{M}{2^L n} \frac{N}{2^L n} \ . \tag{4}$$

where M and N are, respectively, the numbers of rows and columns of an image frame, and  $n \times n$  is the block size at the highest level of the pyramid. The numbers of motion vectors (each vector has two components: horizontal and vertical) and temporal flags required to be transmitted are shown in Table 2. The dynamic ranges of the motion vectors (each component) and temporal flags are shown in column 2. We observe that the numbers of motion vectors in MRME and BMRME are identical. However, BMRME has the small overhead of transmitting the temporal flags (for the first four subimages).

#### 3.3 Fast MRME

We recall that DWT decomposes an image into a pyramid structure of subimages. The motion vectors for different orientation subimages at each level of the wavelet pyramid actually describe the same part of an object in a scene. In other words, the motion activities of the different wavelet subimages at the same pyramid level are highly correlated because they represent the motion on the same scale. In the proposed scheme (FMRME), the wavelet components at each level of the pyramid are combined into a single all-orientation subimage. In other words,  $W_8^H$ ,  $W_8^V$ ,  $W_8^D$  are combined into  $W_8^A$ , whereas  $W_4^H$ ,  $W_4^V$ ,  $W_4^D$  are combined into  $W_4^A$ , etc. This process is illustrated in Fig. 7. We note that the motion estimation is performed only on the all-orientation subimages  $(W_8^A, W_4^A, W_2^A, \text{ etc.})$ . This contrasts with the MRME scheme, where the motion estimation is performed separately on all the individual wavelet subimages  $(W_8^H, W_8^V, W_8^D, \text{etc.})$ . Hence the FMRME scheme exploits the correlation among the motion vectors of the subimages of a pyramid level.

The motion activities at different levels of the pyramid are highly correlated, since they actually characterize the same motion structure at different scales. Hence, in FMRME, the motion vectors of the all-orientation subimage of a lower-level pyramid are predicted and refined from the motion vectors of the all-orientation subimage of a higher-level pyramid. For a three-stage decomposition, the motion vectors of different levels of pyramids can be expressed as

$$V_4^A(x, y) = 2V_8^A(x, y) + \Delta_4^A(x, y) , \qquad (5)$$

$$V_2^A(x,y) = V_4^A(x,y) + 2V_8^A(x,y) + \Delta_2^A(x,y) . \tag{6}$$

At the receiver, the motion vectors of the all-orientation subimage  $W_i^A$  are assigned as the motion vectors of  $W_i^B$ ,  $W_i^V$ , and  $W_i^D$  in order to reconstruct each wavelet subimage, i.e.,

$$V_i^H(x, y) = V_i^V(x, y) = V_i^D(x, y) = V_i^A(x, y)$$
 for  $i = 2.4.8$ . (7)

Table 2 compares the numbers of motion vectors (each vector has a horizontal and a vertical component) required to be

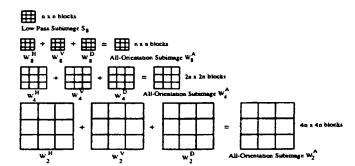


Fig. 7 Wavelet all-orientation subimages.

**Table 2** Number of motion vectors in MRME and BMRME algorithms (m=number of blocks in a subimage).

Level	Dynamic range of vectors	MRME	FMRME	BMRME	BFMRME
1	-4, 4	4°m	2°m	4°m	2*m
2	-2, 2	3*m	l*m	3*m	1 °m
3	-1, 1	3°m	1°m	3*m	1°m
overhead	0, 1	Nil	Nil	2°m	l*m

Overhead due to time flags

sent to the decoder. We observe that the number of motion vectors is almost 40% of that required for MRME.

#### 4 Performance of the Proposed Techniques

The performance of the proposed techniques has been evaluated with three test sequences—(1) "Miss America" (CIF format,  $360 \times 288$ ), (2) "Salesman" ( $360 \times 288$ ), and (3) "Ping-Pong" (360×240). "Miss America" and "Salesman" are typical video conferencing sequences with slow motion and low spatial detail. On the other hand, "Ping-Pong" has high spatial detail and fast motion. The basic video coder as shown in Fig. 4 was employed in the simulations. The full search algorithm with mean squared error (MSE) as the matching criterion has been used to obtain the motion vectors. The block sizes of the level-3, level-2, and level-1 subimages have been chosen as  $3\times3$ ,  $6\times6$ , and  $12\times12$ . respectively. The maximum allowed displacement for level-3  $(S_8 \text{ and } W_8)$  subimages is 4 pixels, and the maximum allowed refinements in level-2  $(W_4)$  and level-1  $(W_2)$  subimages are 2 and 1 pixel, respectively. The peak signal-to-noise ratio (PSNR), which is defined as

$$PSNR = 10 \log_{10} \left( \frac{255^2}{MSE} \right) dB .$$

has been employed as a measure of the quality of the reconstructed images. The coding performance of various techniques has been compared with respect to bit rate versus PSNR. The bit rate and PSNR were calculated by averaging the bit rate and MSE for all the frames (I, P, and B). In order to calculate the bit rate, a frame rate of 24 frames/s was assumed. The GOP was taken as IBBBBPBBBBBBBBBBI (i.e., four B frames between consecutive reference frames).

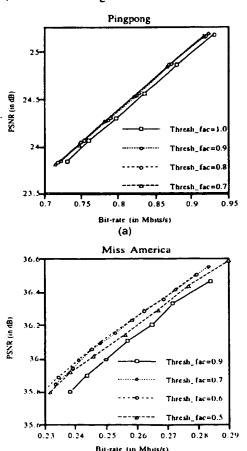
The choice of wavelets and the quantization scheme are crucial in achieving good performance. In all our simulations, we have used the Daubechies-8 tap wavelet, which provides good coding performance.<sup>10</sup> The wavelet coefficients (or the motion-compensated error coefficients) were quantized with

#### MULTIRESOLUTION MOTION ESTIMATION TECHNIQUES FOR VIDEO COMPRESSION

a fairly simple uniform quantizer.<sup>11</sup> For each level of the pyramid (four subimages for the lower-resolution level, and three for the higher-resolution levels) one quantizer was used. The ratio of the quantization step sizes of the successive levels was chosen as 2.0 (increasing from lower-resolution to higher-resolution subimages). The quantized coefficients are encoded with an arithmetic coder<sup>12</sup> to achieve superior coding performance.

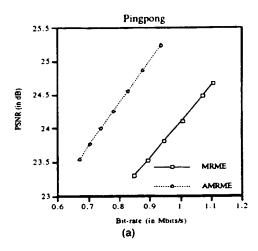
The coding performance of AMRME depends on the choice of the threshold. Figure 8 compares the coding performance for various values of thresh\_factor. It is observed that the best thresh\_factor is in the range 0.6 to 0.8 for most sequences. For "Miss America" (and also for "Salesman," which is not shown here), the performance variation is sensitive to the choice of threshold. "Miss America" (like "Salesman"), being a low-entropy sequence, requires less bits for encoding. Hence the bit saving due to thresholding is able to improve the overall coding performance. However, "Ping-Pong," being a high-entropy sequence, requires a large number of bits for encoding. Hence, the bit saving due to thresholding is not very significant compared to the overall bit rates. In all our subsequent simulations, we have used a threshold with thresh\_factor equal to 0.7 for all three sequences.

The coding performance of AMRME (with thresh\_factor=0.7) is shown in Fig. 9. It is observed that AMRME



(b)

Fig. 8 Performance comparison of various thresholds.



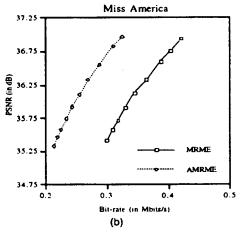


Fig. 9 Comparison of MRME and AMRME techniques (with thresh\_factor = 0.7).

provides an improvement of more than 1 dB compared to MRME. The improvement is more significant for the "Ping-Pong" sequence, since the high-pass subimages of the consecutive frames are less correlated due to its fast motion.

Figure 10 compares the coding performance of B frames for the MRME and BMRME techniques (using an adaptive threshold in both cases). We observe that BMRME provides superior coding performance to MRME. In addition, BMRME addresses the uncovered areas better, since an uncovered area can be predicted only from the future reference frame. Hence, the BMRME technique has a potential to provide a superior performance when there is a scene change in a video sequence.

The relative performance of MRME and FMRME techniques is shown in Fig. 11. In general, FMRME is expected to provide poor performance compared to MRME because of its coarse motion estimation. However, we note that the FMRME requires less motion vectors to be encoded and thus saves some bits. In Fig. 11, it is observed that for the "Ping-Pong" sequence, MRME provides better coding performance. Since "Ping-Pong" is a sequence with fast motion, the corresponding motion vectors from different subimages are less correlated, and hence superior performance is

MANDAL et al.

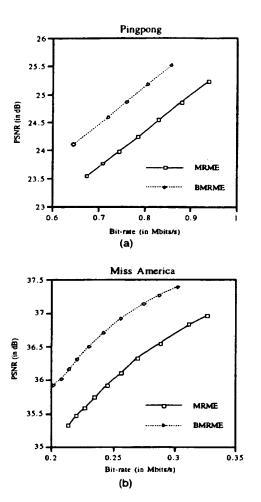


Fig. 10 Comparison of MRME and BMRME techniques. In all cases, a thresh\_factor of 0.7 has been used.

achieved by estimating motion individually for all the sub-images. However, it is observed that FMRME provides a coding performance comparable to MRME for the "Miss America" and "Salesman" sequences. For such slow sequences, there is correlation among the motion vectors of the three subimages at any level of the wavelet pyramid. Hence the bit-rate saving due to the smaller number of motion vectors compensates the degradations due to coarse motion estimation. It is also observed that FMRME works better for very low-bit-rate coding. At a lower bit rate, FMRME spends fewer bits in coding motion vectors and spends the remaining bits in encoding the error coefficients. In summary, for slow motion sequences, the overall coding performance of FMRME is comparable to that of MRME at a significantly reduced complexity.

Finally, the overall coding performance, combining all three techniques, is shown in Fig. 12. We observe that an improvement of 1 to 2 dB in coding performance is obtained with the proposed techniques, compared to MRME, for all the video sequences. We also note that the overall complexity of the proposed techniques is significantly less than for MRME.

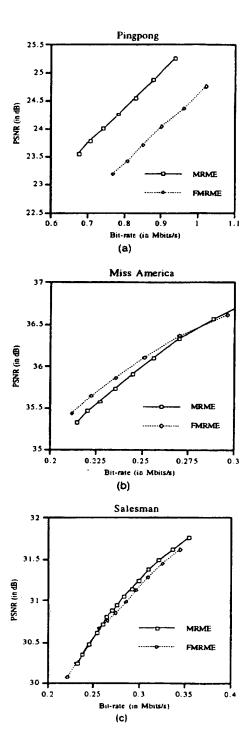
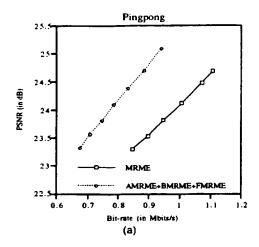


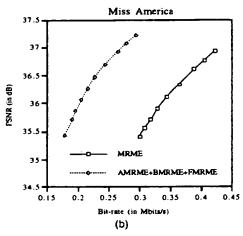
Fig. 11 Comparison of MRME and FMRME techniques. In all cases, a thresh\_factor of 0.7 has been used.

#### 5 Conclusions

In this paper, we have first presented the use of an adaptive threshold for coding the motion vectors in high-pass subimages. This threshold improves the coding performance of the MRME technique, as there is little correlation among the

#### MULTIRESOLUTION MOTION ESTIMATION TECHNIQUES FOR VIDEO COMPRESSION





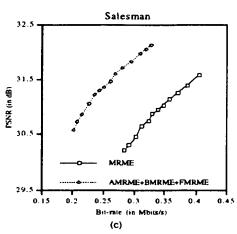


Fig. 12 Performance of the combined three techniques.

high-pass coefficients of two neighboring frames. The improvement is more appreciable for fast motion sequences. Secondly, we have proposed a bidirectional multiresolution motion estimation technique for a wavelet transform-based video coder. The BMRME technique provides superior coding performance (improvement of more than 1 dB) to the MRME technique. The performance improvement over

MRME due to BMRME is more pronounced for a fast motion sequence. The proposed technique can be employed in the design of a wavelet-based MPEG coder. Finally, we have proposed a fast multiresolution motion estimation (FMRME) technique. This technique exploits the correlation among the motion vectors of the subimages of a particular wavelet pyramid and significantly reduces the computational complexity (by about 60%). In addition, the number of motion vectors is reduced. As a result, FMRME provides superior coding performance to MRME, especially for a slow motion sequence.

Further work can be carried out to extend the MRME and BMRME techniques to a generalized wave-packet decomposition scheme. In wave packets, the decomposition is image adaptive and irregular in nature, resulting in superior coding performance.<sup>10</sup> The extension of MRME techniques to wave packets has a potential to further improve the coding performance.

#### References

- D. L. Gall, "MPEG: a video compression standard for multimedia applications," Comm. ACM 34(4), 46-58 (Apr. 1991).
- MPEG (Moving Pictures Expert Group). Final text for ISO/IEC 11172, in Information Technology—Coding of Moving Pictures and Associated Audio for Digital Storage Media at up to about 1.5 Mbit/s. ISO/IEC, Geneva (1993).
- ISO/IEC JTC1/SC29/WG11/N0400, "Test model 5," Version 2 (Apr. 1993).
- W. R. Zettler, J. Huffman, and D. C. P. Linden, "Application of compactly supported wavelets to image compression," in *Image Processing Algorithms and Techniques, Proc. SPIE* 1244, 150–160 (1990).
- A. N. Akansu and R. A. Haddad, Multiresolution Signal Decomposition: Transform, Subbands and Wavelets, Academic Press, New York (1993).
- A. Puri, H. M. Hang, and D. L. Schilling, "An efficient block matching algorithm for motion-compensated coding," in *Proc. ICASSP*, pp. 1063-1066, IEEE, Dallas (1987).
- pp. 1063-1066. IEEE. Dallas (1987).
  Y. Q. Zhang and S. Zafar, "Motion-compensated wavelet transform coding for color video compression," *IEEE Trans. Circuits and Systems for Video Technol.* 2(3), 285-296 (Sep. 1992).
- S. G. Mallat. "A theory for multiresolution signal representation: the wavelet decomposition," *IEEE Trans. Pattern Anal. and Machine In*tell. 11(7), 674-693 (July 1989).
- E. Chan, A. A. Rodriguez, R. Gandhi, and S. Panchanathan, "Experiments on block-matching techniques for video coding," *Multimedia Systems* 2(3), 228-241 (1994).
- M. K. Mandal, S. Panchanathan, and T. Aboulnasr, "Wavelets for image compression," in Proc. IEEE International Symposium on Time-Frequency and Time-Scale Analysis, pp. 338–341 (Oct. 1994)
- Frequency and Time-Scale Analysis, pp. 338–341 (Oct. 1994).

  11. R. A. Devore, B. Jawerth, and B. J. Lucier, "Image compression through wavelet coding," *IEEE Trans. Inf. Theory* 38(12), 719–746 (Mar. 1992)
- I. H. Witten, R. M. Neal, and J. G. Cleary, "Arithmetic coding for data compression," Comm. ACM 30(6), 520-540 (June 1987).



Mrinal Kr. Mandal received the BE (electronics and communication engineering) degree from the University of Burdwan, India, in 1987; the ME (electronics and telecommunications engineering) degree from the University of Calcutta, India, in 1989; and the MASc in electrical engineering from the University of Ottawa, Canada, in 1994. Since 1989 he has been working as a scientist/engineer at the Space Applications Centre, Ahemedabad, India. He is

currently on study leave and pursuing his PhD degree in electrical engineering as a Canadian Commonwealth Fellow at the University of Ottawa, Canada. His research interests include image and signal processing, image and video compression, wavelets, filterbanks, and remote sensing.

# This Page Is Inserted by IFW Operations and is not a part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problems Mailbox.

• ·